

# Semidefinite programming in quantum theory

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**Positive (semidefinite) operators  
are everywhere in quantum theory**

$$A \geq 0 \iff v^T A v \geq 0 \forall v \in \mathcal{H}$$

$\rho$

Density operators

$\{E_1, \dots, E_n\}$

POVMs



**Semidefinite programming let us optimise linear functions over positive semidefinite matrices**

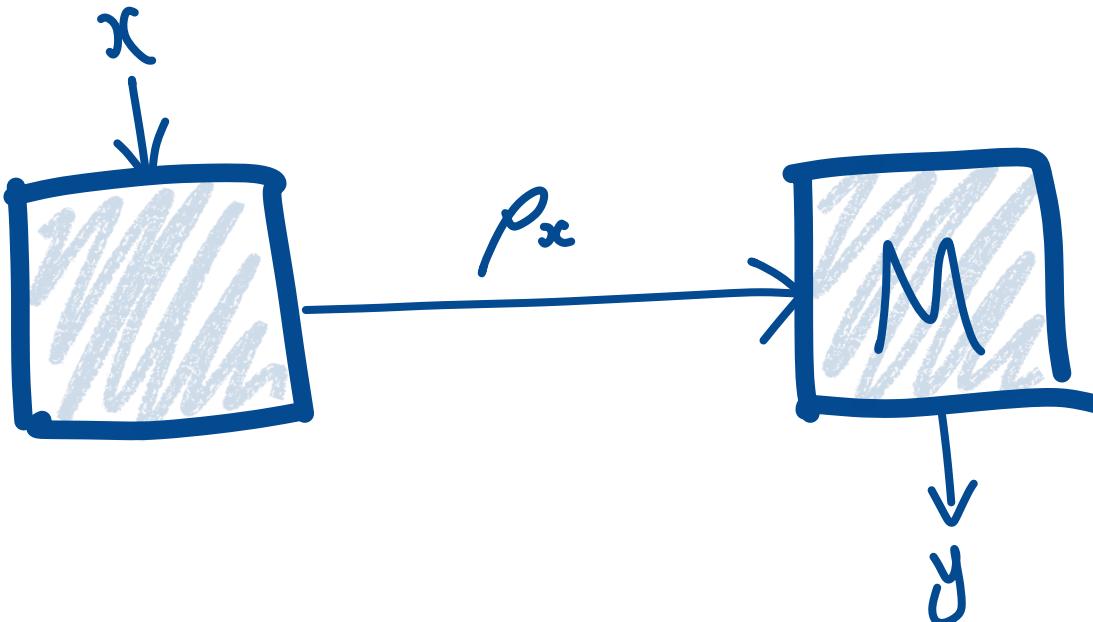
Classic reference [BV04], Quantum lecture notes [SV15]

# State discrimination

Task:

Discriminate  $\rho_1, \rho_2, \dots, \rho_n$

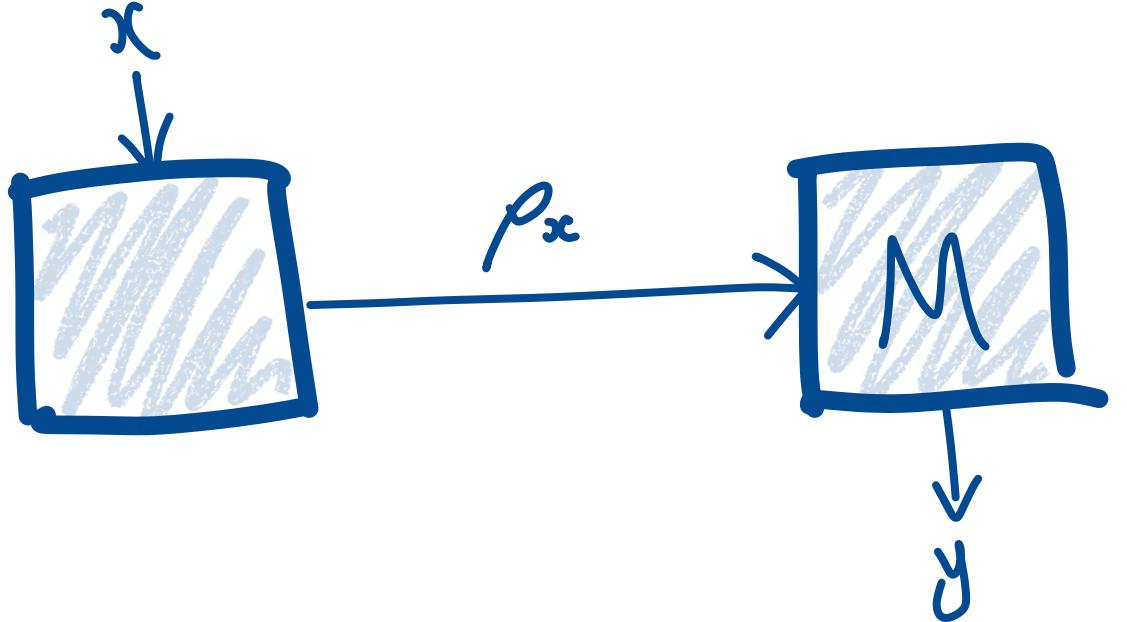
with a measurement  $M = \{M_1, \dots, M_n\}$



# State discrimination

Probability of guessing correctly:

$$\frac{1}{n} \sum_{x=1}^n \text{Tr}(M_x \rho_x)$$



## Best chance

$$\begin{aligned} & \max_{M_x \in H_d} \quad \sum_x \text{Tr}(M_x \rho_x) \\ \text{s.t.} \quad & \sum_x M_x = \mathbb{I}_d \\ & M_x \geq 0 \end{aligned}$$

This is an SDP!



Notation:  
 $H_d$  -  $d \times d$  Hermitian matrices  
 $S_d$  -  $d \times d$  symmetric matrices

## SEMIDEFINITE PROGRAM

### LINEAR PROGRAM

$$\begin{aligned} \max_{X \in H_d} \quad & \text{Tr}(CX) \\ \text{s.t.} \quad & \text{Tr}(A_i X) = b_i \\ & X \geq 0 \end{aligned}$$



$$C, A_i \in H_d, b_i \in \mathbb{R}$$

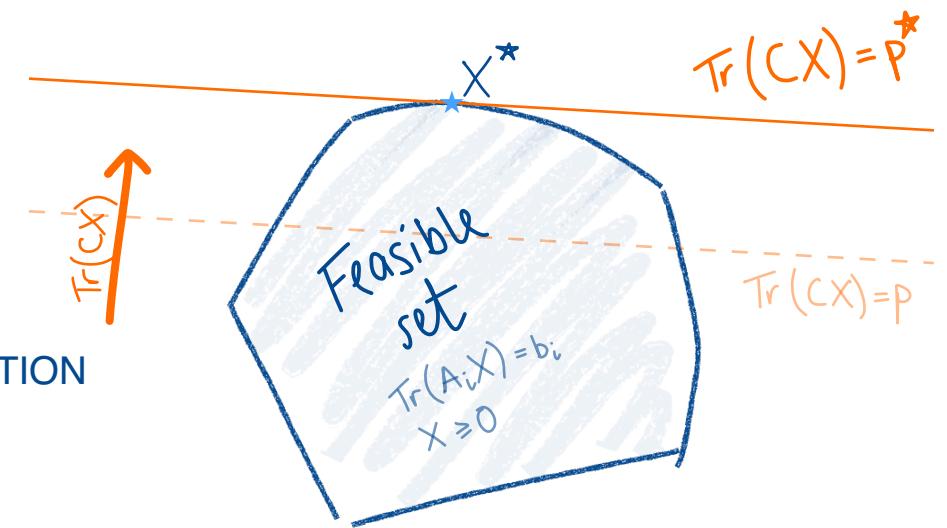
$$\max_{\mathbf{x} \in \mathbb{R}^d} \quad \mathbf{c} \cdot \mathbf{x}$$

$$\begin{aligned} \text{s.t.} \quad & A\mathbf{x} = \mathbf{b} \\ & x_1 F_1 + \dots + x_d F_d + G \geq 0 \\ & A \in \mathbb{R}^{n \times d}, \mathbf{b}, \mathbf{c} \in \mathbb{R}^d, F_1, \dots, F_d, G \in S_d \end{aligned}$$

LINEAR OBJECTIVE FUNCTION

LINEAR CONSTRAINTS

SEMIDEFINITE CONSTRAINT



$$\begin{aligned} \max_{X \in S_d} \quad & \text{Tr}(CX) \\ \text{s.t.} \quad & \text{Tr}(A_i X) = b_i \\ & X \geq 0 \end{aligned}$$

$$C, A_i \in S_d, b_i \in \mathbb{R}$$

## SEMIDEFINITE PROGRAM

### LINEAR PROGRAM

$$\begin{aligned} \max_{X \in H_d} \quad & \text{Tr}(CX) \\ \text{s.t.} \quad & \text{Tr}(A_i X) = b_i \\ & X \geq 0 \end{aligned}$$



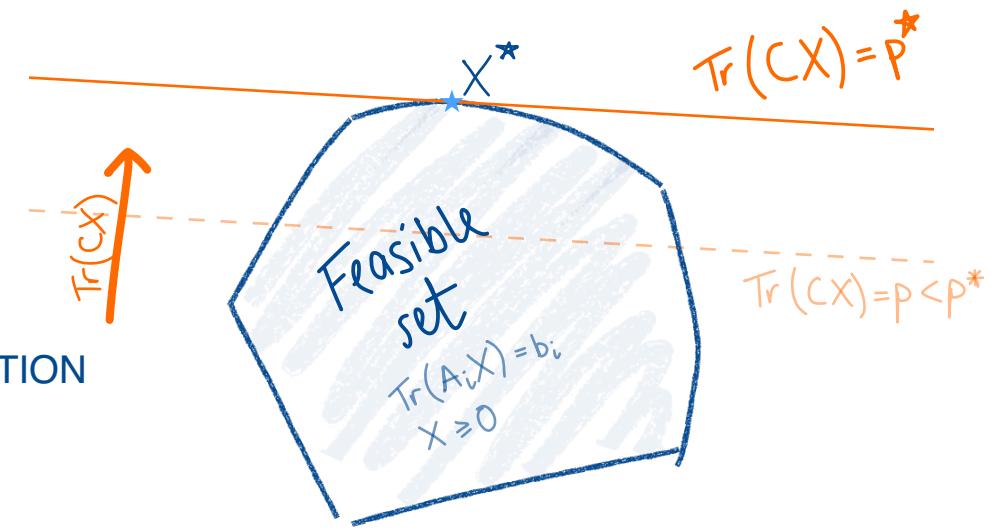
$$C, A_i \in H_d, b_i \in \mathbb{R}$$

Ex: Put this SDP in canonical form

LINEAR OBJECTIVE FUNCTION

LINEAR CONSTRAINTS

SEMIDEFINITE CONSTRAINT



$$\begin{aligned} \max_{M_x \in H_d} \quad & \sum_x \text{Tr}(M_x \rho_x) \\ \text{s.t.} \quad & \sum_x M_x = \mathbb{I}_d \\ & M_x \geq 0 \end{aligned}$$



## Why are we looking for SDPs?

- Efficiently solvable
- Interior point methods
- At worst polynomial time in the size of X
- More efficient algorithms may exist

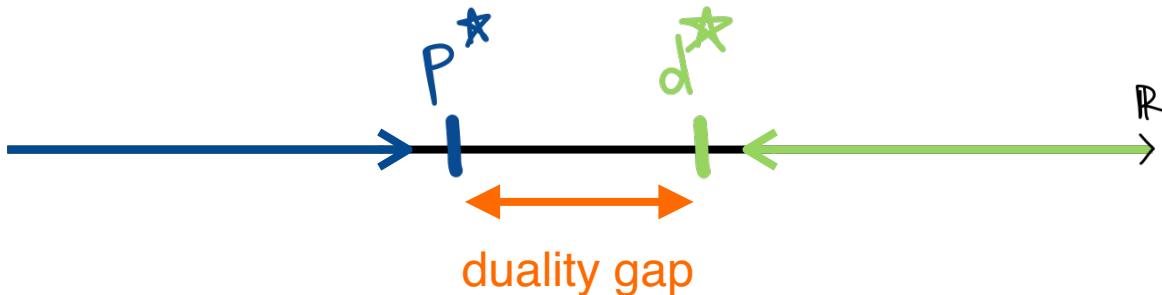
$$\begin{aligned} \max_{X \in H_d} \quad & \text{Tr}(CX) \\ \text{s . t .} \quad & \text{Tr}(A_i X) = b_i \\ & X \geq 0 \end{aligned}$$

Important part of the theory of SDPs:

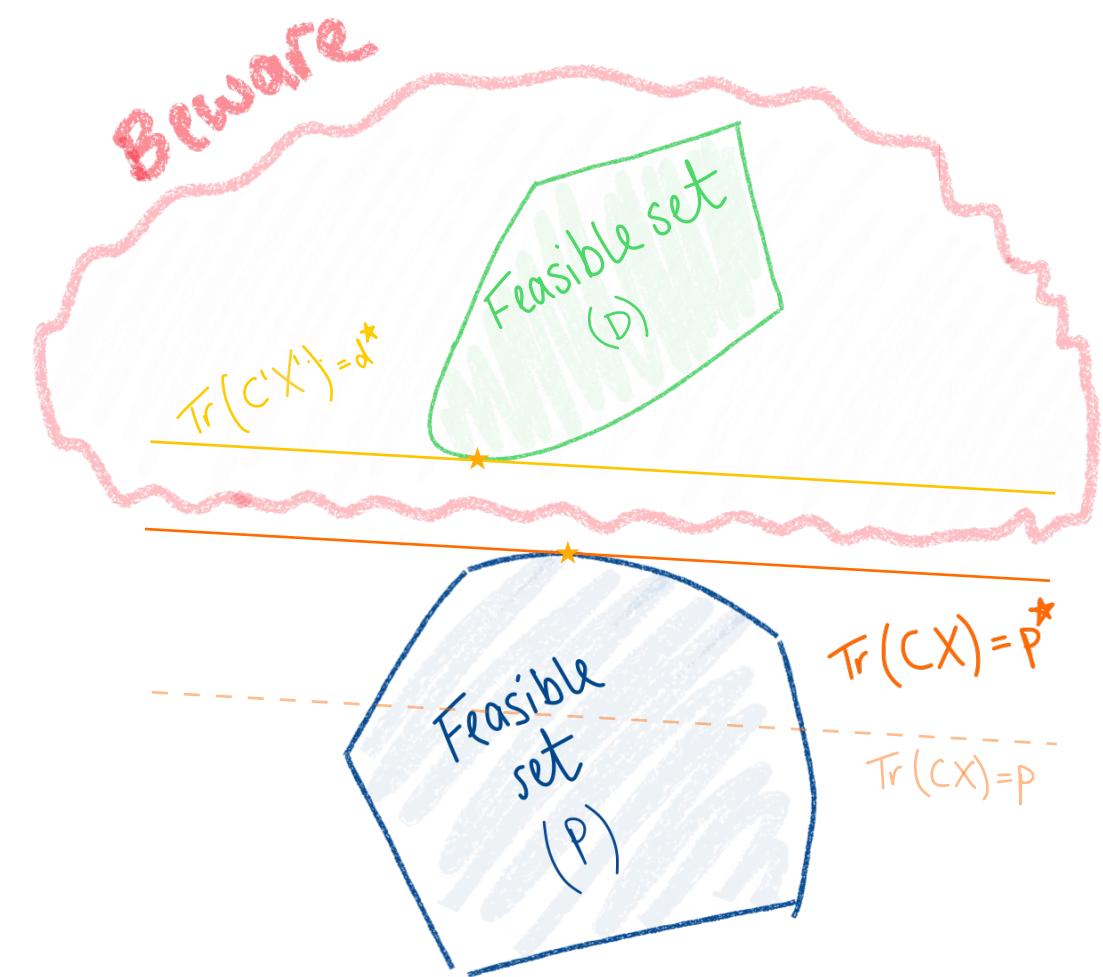


## Dual SDPs

- Every SDP has a dual SDP
- Feasible point in *primal SDP (P)* → lower bound for  $p^*$
- Feasible point in *dual SDP (D)* → upper bound for  $p^*$
- The best upper bound  $d^* \geq p^*$



- For some SDPs the duality gap is zero:  $p^* = d^*$
- E.g. if *Slater's condition* is satisfied
- Then the dual provides a *certificate* of the optimal value of the primal



There exists a point in the relative interior of the feasible set, where the constraints hold strictly

## Dual SDPs

$$C, A_i \in S_d, b_i \in \mathbb{R}$$

Unconstrained

**PRIMAL**

$$\begin{aligned} \max_{X \in S_d} \quad & \text{Tr}(CX) = p^* \\ \text{s . t .} \quad & \text{Tr}(A_i X) = b_i \\ & X \geq 0 \end{aligned}$$

- Expression to approximate  $p^*$
- from above
  - without constraints
  - new variables
  - SDP friendly

**ADD**

X not in feasible set:  
**punishment** :(

X in feasible set:  
**reward** :)

$$\sup_{X \in S_d} \quad \text{Tr}(CX) + \text{Tr}(YX) + \sum_i y_i [\text{Tr}(A_i X) - b_i] = g(Y, y_1, \dots, y_n) \geq p^*$$

- If  $X \not\geq 0$ : negative for some  $Y \geq 0$
- If  $X \geq 0$ : non-negative for any  $Y \geq 0$

- If  $\text{Tr}(A_i X) \neq b_i$ : negative for some  $y_i \in \mathbb{R}$
- If  $\text{Tr}(A_i X) = b_i$ : 0

Lagrangian dual function

To find the best (i.e. lowest) upper bound we minimise

## Minimising $g(Y, y_1, \dots, y_n)$

$$\min_{Y \in H_d, y_i \in \mathbb{R}} \sup_{X \in H_d} \text{Tr}(CX) + \text{Tr}(YX) + \sum_i y_i [\text{Tr}(A_i X) - b_i]$$

s . t .       $Y \geq 0$

$$\min_{Y \in H_d, y_i \in \mathbb{R}} \sup_{X \in H_d} \text{Tr} \left[ \left( C + Y + \sum_i y_i A_i \right) X \right] - \sum_i b_i y_i$$

s . t .       $Y \geq 0$

unbounded unless  
 $C + Y + \sum_i y_i A_i = 0$

$$\min_{Y \in H_d, y_i \in \mathbb{R}} - \sum_i b_i y_i$$

$$\left. \begin{array}{l} \text{s . t .} \\ \quad Y \geq 0 \\ \quad C + Y + \sum_i y_i A_i = 0 \end{array} \right\} \quad C \leq - \sum_i y_i A_i$$

**DUAL**

$$\min_{y_i \in \mathbb{R}} \sum_i b_i y_i$$

s . t .       $\sum_i y_i A_i \geq C$

# State discrimination

PRIMAL

$$\begin{aligned} \max_{M_x \in H_d} \quad & \sum_x \text{Tr}(M_x \rho_x) \\ \text{s.t.} \quad & \sum_x M_x = \mathbb{I}_d \\ & M_x \geq 0 \end{aligned}$$

Exercise: derive the dual

DUAL

$$\begin{aligned} \min_{X \in H_d} \quad & \text{Tr}(X) \\ \text{s.t.} \quad & X \geq \rho_x \quad \forall 1 \leq x \leq n \end{aligned}$$

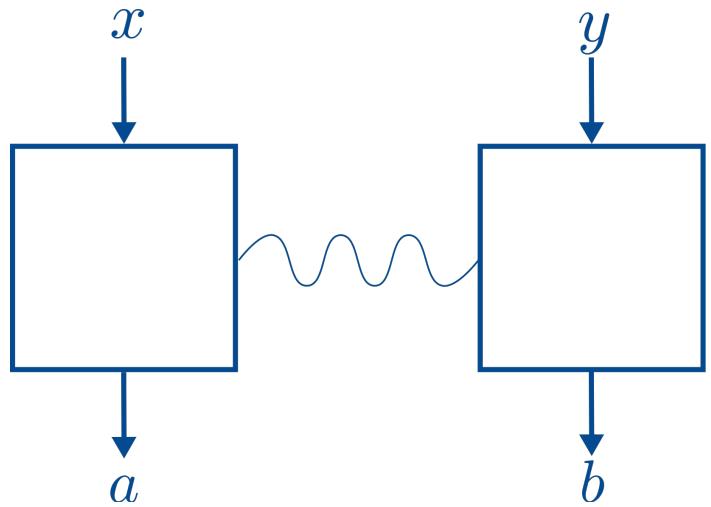
$$\sup_{M_y \in H_d} \sum_y \text{Tr}(M_y \rho_y) + \sum_y \text{Tr}(Y_y M_y) + \text{Tr} \left[ X \left( \sum_y M_y - \mathbb{I}_d \right) \right]$$

Dual much simpler!

# Bell nonlocality



## Bell nonlocality (a recap)

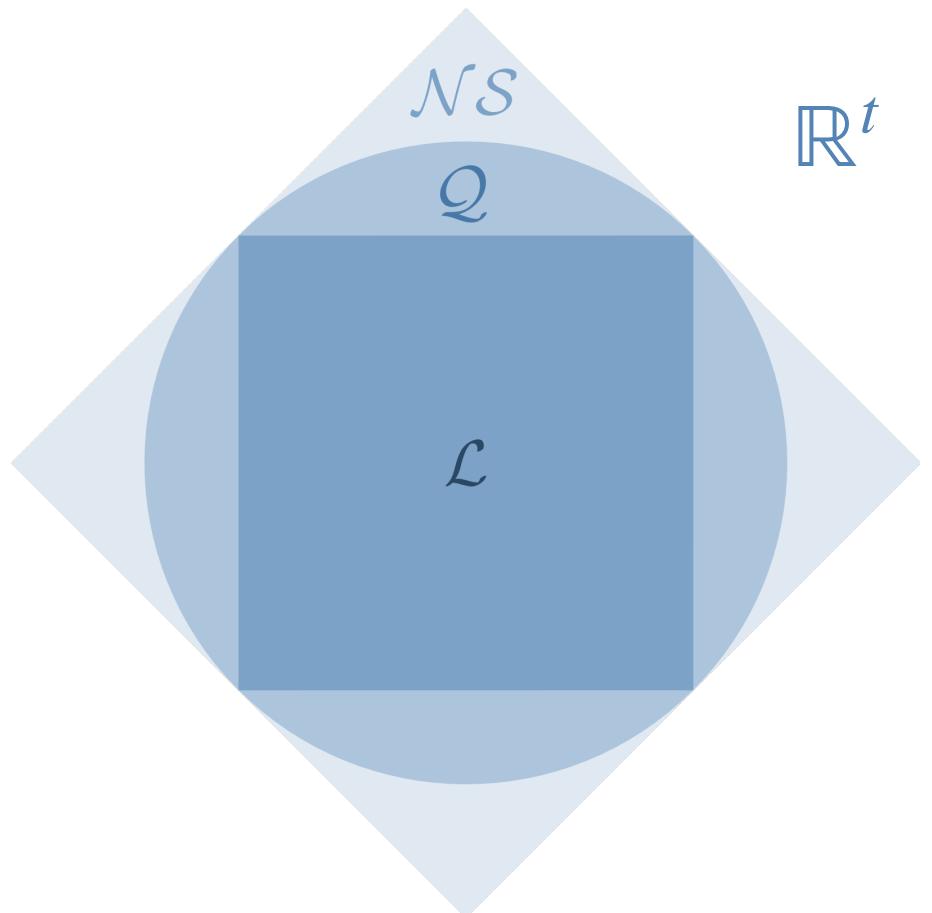


**Behaviour**

$$\mathbf{p}(ab|xy) = \begin{pmatrix} p(00|00) \\ p(01|00) \\ \vdots \end{pmatrix} \in \mathbb{R}^t$$

**Local**

$$\mathbf{p} = \sum_j c_j \mathbf{d}_j$$



Is  $p \in \mathcal{L}$  ?

(P)

$$\begin{aligned} & \max_{c_j} p \\ \text{s.t. } & p = \sum_j c_j d_j \\ & \sum_j c_j = 1 \\ & 0 \leq c_j \end{aligned}$$

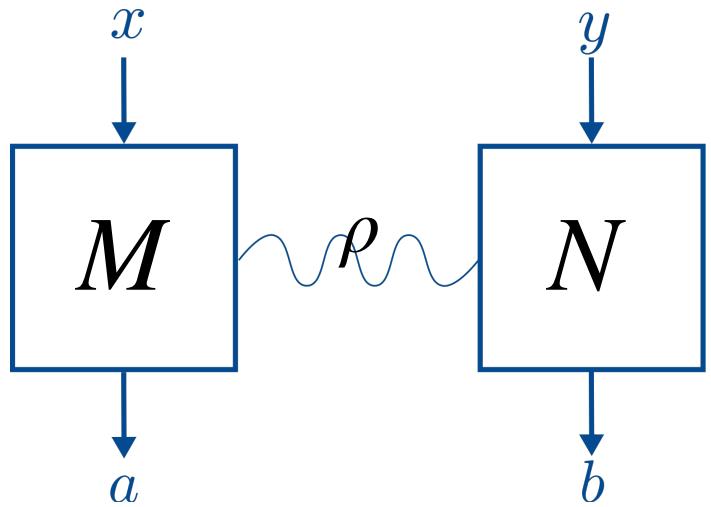
behaviour  
deterministic behaviours

(D)

$$\begin{aligned} & \max_{\underline{b}, s} b \cdot p - s \\ \text{s.t. } & \underline{b} \cdot \underline{d}_j \leq s \end{aligned}$$

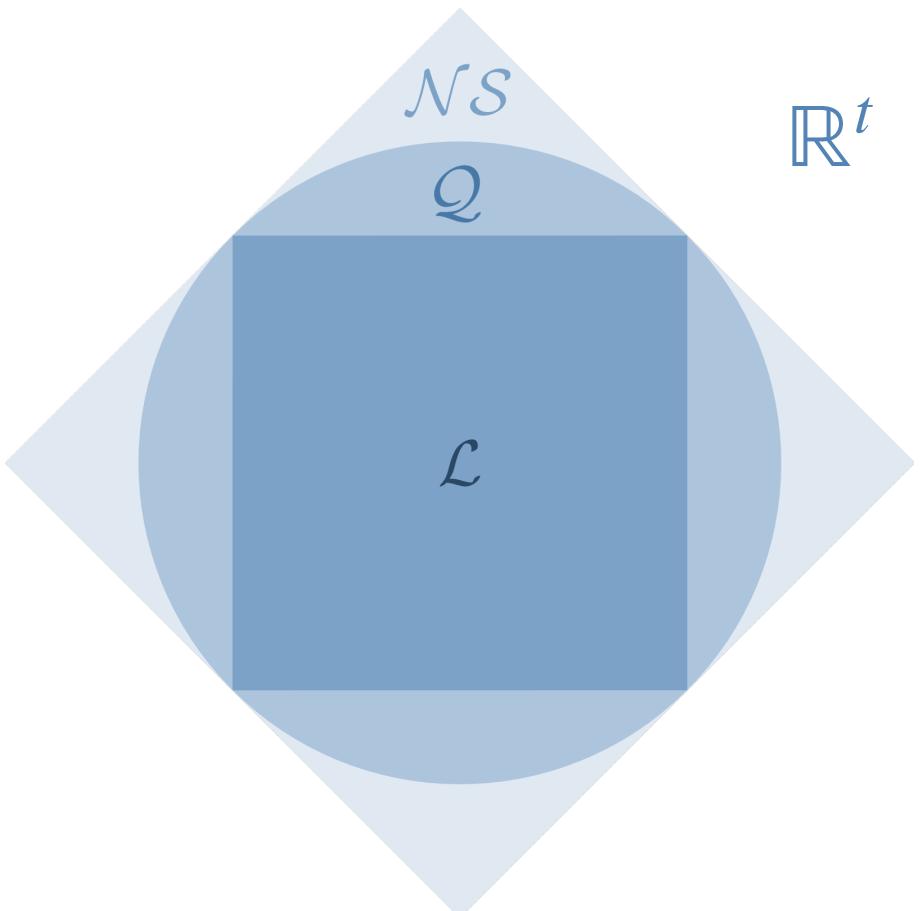
Input: nonlocal behaviour  
Output: Bell equality violated by behaviour

## Bell nonlocality (a recap)



**Behaviour**  $p(ab|xy) = \begin{pmatrix} p(00|00) \\ p(01|00) \\ \vdots \end{pmatrix} \in \mathbb{R}^t$

**Quantum**  $p(ab|xy) = \text{Tr}(M_a^x \otimes N_b^y \rho)$



Is  $p \in Q$  ?

$$\max_{M_a^x, N_b^y, \rho \in H_d, d \in \mathbb{N} \cup \infty} 0$$

s . t .

dimension  
unbounded



$$p(ab | xy) = \text{Tr}(M_a^x \otimes N_b^y \rho)$$

$$M_a^x, N_b^y, \rho \geq 0$$

$$\sum_a M_a^x = \sum_b N_b^y = \mathbb{I}_d$$

$$\text{Tr}(\rho) = 1$$

non linear



semidef.



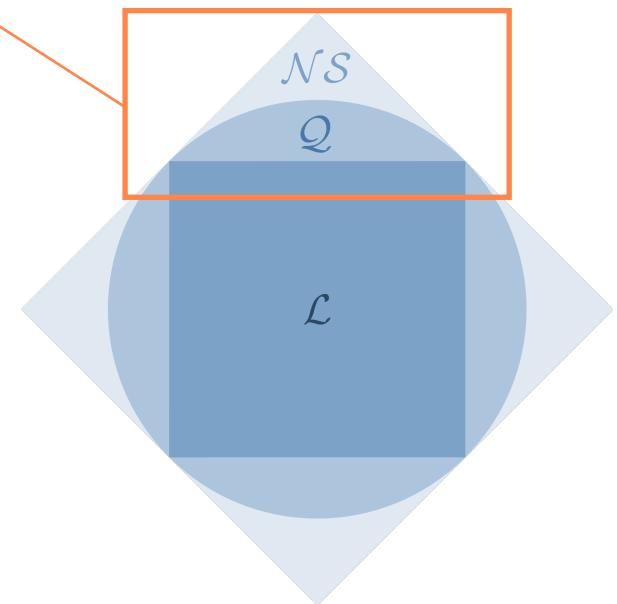
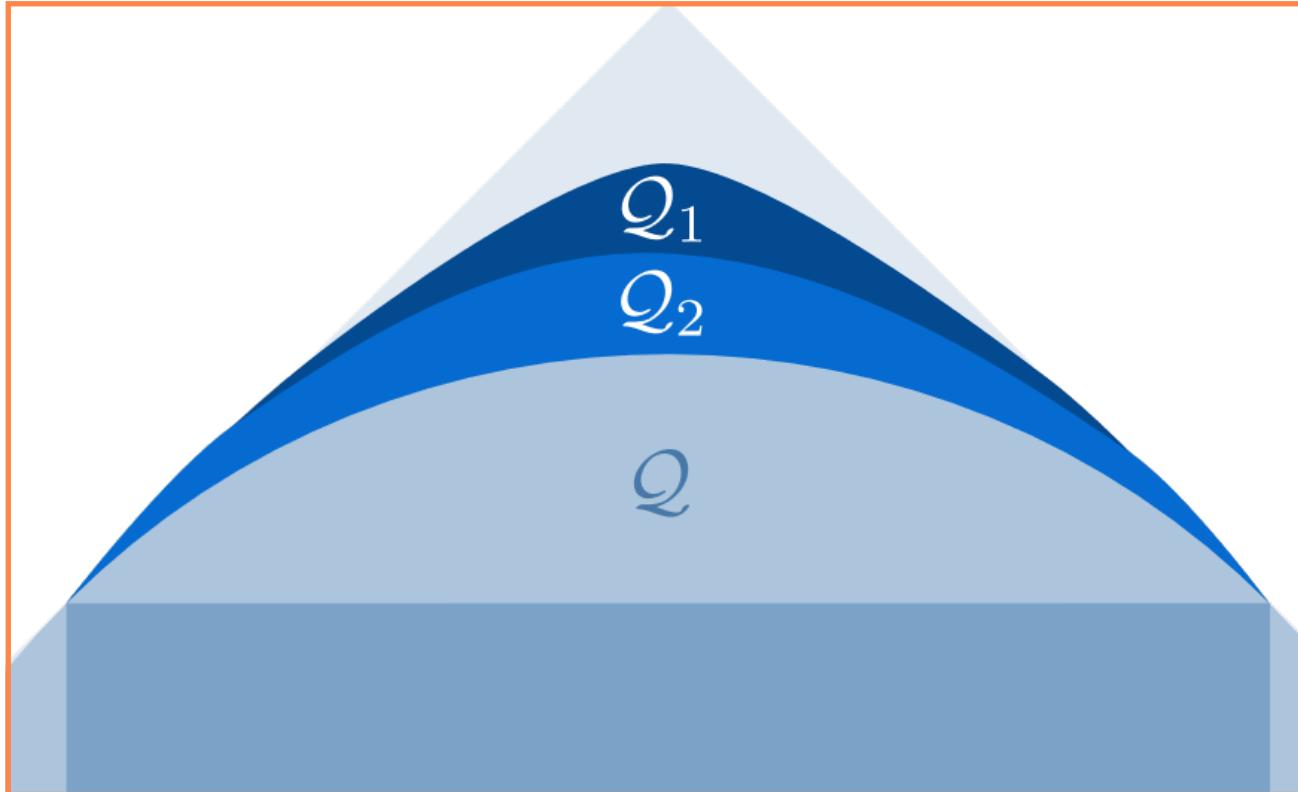
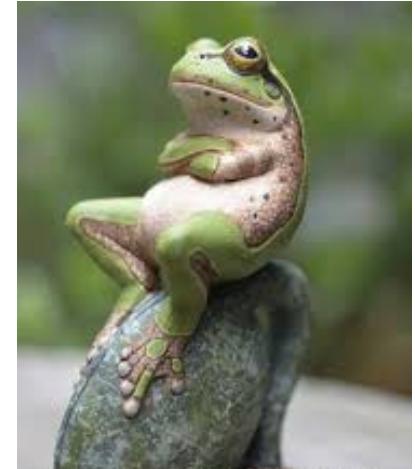
linear



Problem is undecidable! [FMS21]

Nightmare! What do we do?

RELAX



1

If you can produce a quantum correlation with a mixed state and/or unsharp measurements

You can also produce it with a pure state and sharp measurements (on a possibly larger Hilbert space)

$$p = \text{Tr}(M_a^x \otimes N_b^y \rho)$$

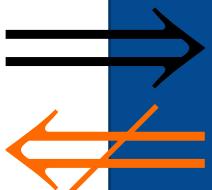


$$p = \langle \psi, P_a^x \otimes Q_b^y \psi \rangle$$

$$(P_a^x)^2 = P_a^x, \quad (Q_b^y)^2 = Q_b^y$$

2

$$p = \langle \psi, P_a^x \otimes Q_b^y \psi \rangle$$



Tsirelson's problem

[JNV20]

Commuting quantum correlations,  $\mathcal{Q}_c$

$$p = \langle \psi, P_a^x Q_b^y \psi \rangle$$

$$[P_a^x, Q_b^y] = 0$$

$$\mathbf{p}(ab|xy) = \langle \psi, P_a^x Q_b^y \psi \rangle \quad \text{Projective mmts } \{P_a^x\}_a \text{ and } \{Q_b^y\}_b \text{ s.t. } [P_a^x, Q_b^y] = 0, \text{ and pure state } \psi$$

We can build a *Gram matrix* of the vectors

$$\left\{ \psi, P_a^x \psi, Q_b^y \psi \right\}$$



$$\{v_1, v_2, v_3, \dots\}$$

$$(\Gamma)_{i,j} = \langle v_i, v_j \rangle$$

$$\Gamma = \begin{pmatrix} \langle v_1, v_1 \rangle & \langle v_1, v_2 \rangle & \langle v_1, v_3 \rangle & \cdots \\ \langle v_2, v_1 \rangle & \langle v_2, v_2 \rangle & \ddots & \ddots \\ \vdots & \vdots & \ddots & \ddots \end{pmatrix} \geq 0$$

$$\mathbf{p}(ab \mid xy) = \langle \psi, P_a^x Q_b^y \psi \rangle \quad \text{Projective mmts } \{P_a^x\}_a \text{ and } \{Q_b^y\}_b \text{ s.t. } [P_a^x, Q_b^y] = 0, \text{ and pure state } \psi$$

We can build a *Gram matrix* of the vectors

$$\left\{ \psi, P_a^x \psi, Q_b^y \psi \right\}$$

$$\langle P_a^x \psi, Q_b^y \psi \rangle = \langle \psi, P_a^x Q_b^y \psi \rangle$$

$$\mathbf{p}(ab|xy) = \langle \psi, P_a^x Q_b^y \psi \rangle \quad \text{Projective mmts } \{P_a^x\}_a \text{ and } \{Q_b^y\}_b \text{ s.t. } [P_a^x, Q_b^y] = 0, \text{ and pure state } \psi$$

$$\langle \psi, \psi \rangle = 1$$

	$\mathbb{I}$	$P_0^0$	$P_1^0$	$Q_0^0$	$Q_1^0$	$P_0^1$	$P_1^1$	$\dots$
$\mathbb{I}$	$\langle \mathbb{1} \rangle$	$\langle P_0^0 \rangle$	$\langle P_1^0 \rangle$	$\langle Q_0^0 \rangle$	$\langle Q_1^0 \rangle$	$\langle P_0^1 \rangle$	$\langle P_1^1 \rangle$	
$P_0^0$	$\langle P_0^0 \rangle$	$\langle P_0^0 \rangle$	$\langle P_0^0 P_1^0 \rangle$	$\langle P_0^0 Q_0^0 \rangle$	$\langle P_0^0 Q_1^0 \rangle$	$\langle P_0^0 P_0^1 \rangle$	$\langle P_0^0 P_1^1 \rangle$	
$P_1^0$	$\langle P_1^0 \rangle$	$\langle P_1^0 P_0^0 \rangle$	$\langle P_1^0 \rangle$	$\langle P_1^0 Q_0^0 \rangle$	$\langle P_1^0 Q_1^0 \rangle$	$\langle P_1^0 P_0^1 \rangle$	$\langle P_1^0 P_1^1 \rangle$	
$Q_0^0$	$\langle Q_0^0 \rangle$	$\langle Q_0^0 P_0^0 \rangle$	$\langle Q_0^0 P_1^0 \rangle$	$\langle Q_0^0 \rangle$	$\langle Q_0^0 Q_1^0 \rangle$	$\langle Q_0^0 P_0^1 \rangle$	$\langle Q_0^0 P_1^1 \rangle$	
$Q_1^0$	$\langle Q_1^0 \rangle$	$\langle Q_1^0 P_0^0 \rangle$	$\langle Q_1^0 P_1^0 \rangle$	$\langle Q_1^0 Q_0^0 \rangle$	$\langle Q_1^0 \rangle$	$\langle Q_1^0 P_0^1 \rangle$	$\langle Q_1^0 P_1^1 \rangle$	
$\vdots$								

$$\mathbf{p}(ab|xy) = \langle \psi, P_a^x Q_b^y \psi \rangle \quad \text{Projective mmts } \{P_a^x\}_a \text{ and } \{Q_b^y\}_b \text{ s.t. } [P_a^x, Q_b^y] = 0, \text{ and pure state } \psi$$

$$\langle P_0^0 \rangle = \langle \psi, P_0^0 \psi \rangle = \sum_b \langle \psi, P_0^0 Q_b^y \psi \rangle = \sum_b p(0b|0y) = p_A(0|0)$$

	$\mathbb{I}$	$P_0^0$	$P_1^0$	$Q_0^0$	$Q_1^0$	$P_0^1$	$P_1^1$	$\dots$
$\mathbb{I}$	1	$p_A(P_0^0 0)$	$p_A(P_1^0 0)$	$p_B(Q_0^0 0)$	$p_B(Q_1^0 0)$	$p_A(P_0^1 1)$	$p_A(P_1^1 1)$	
$P_0^0$	$p_A(P_0^0 0)$	$p_A(P_0^0 0)$	$\langle P_0^0 P_1^0 \rangle$	$\langle P_0^0 Q_0^0 \rangle$	$\langle P_0^0 Q_1^0 \rangle$	$\langle P_0^0 P_0^1 \rangle$	$\langle P_0^0 P_1^1 \rangle$	
$P_1^0$	$p_A(P_1^0 0)$	$\langle P_1^0 P_0^0 \rangle$	$p_A(P_1^0 0)$	$\langle P_1^0 Q_0^0 \rangle$	$\langle P_1^0 Q_1^0 \rangle$	$\langle P_1^0 P_0^1 \rangle$	$\langle P_1^0 P_1^1 \rangle$	
$Q_0^0$	$p_B(Q_0^0 0)$	$\langle Q_0^0 P_0^0 \rangle$	$\langle Q_0^0 P_1^0 \rangle$	$p_B(Q_0^0 0)$	$\langle Q_0^0 Q_1^0 \rangle$	$\langle Q_0^0 P_0^1 \rangle$	$\langle Q_0^0 P_1^1 \rangle$	
$Q_1^0$	$p_B(Q_1^0 0)$	$\langle Q_1^0 P_0^0 \rangle$	$\langle Q_1^0 P_1^0 \rangle$	$\langle Q_1^0 Q_0^0 \rangle$	$p_B(Q_1^0 0)$	$\langle Q_1^0 P_0^1 \rangle$	$\langle Q_1^0 P_1^1 \rangle$	
$\vdots$								

$$\mathbf{p}(ab|xy) = \langle \psi, P_a^x Q_b^y \psi \rangle \quad \text{Projective mmts } \{P_a^x\}_a \text{ and } \{Q_b^y\}_b \text{ s.t. } [P_a^x, Q_b^y] = 0, \text{ and pure state } \psi$$

$$\langle P_0^0 Q_0^0 \rangle = \langle \psi, P_0^0 Q_0^0 \psi \rangle = p(00|00)$$

	$\mathbb{I}$	$P_0^0$	$P_1^0$	$Q_0^0$	$Q_1^0$	$P_0^1$	$P_1^1$	$\dots$
$\mathbb{I}$	1	$p_A(0 0)$	$p_A(1 0)$	$p_B(0 0)$	$p_B(1 0)$	$p_A(0 1)$	$p_A(1 1)$	
$P_0^0$	$p_A(0 0)$	$p_A(0 0)$	$\langle P_0^0 P_1^0 \rangle$	$p(\langle P_0^0 Q_0^0 \rangle)$	$p(\langle P_0^0 Q_1^0 \rangle)$	$\langle P_0^0 P_0^1 \rangle$	$\langle P_0^0 P_1^1 \rangle$	
$P_1^0$	$p_A(1 0)$	$\langle P_1^0 P_0^0 \rangle$	$p_A(1 0)$	$p(\langle P_1^0 Q_0^0 \rangle)$	$p(\langle P_1^0 Q_1^0 \rangle)$	$\langle P_1^0 P_0^1 \rangle$	$\langle P_1^0 P_1^1 \rangle$	
$Q_0^0$	$p_B(0 0)$	$\langle Q_0^0 P_0^0 \rangle$	$\langle Q_0^0 P_1^0 \rangle$	$p_B(0 0)$	$\langle Q_0^0 Q_1^0 \rangle$	$p(\langle Q_0^0 P_1^0 \rangle)$	$p(\langle Q_0^0 P_1^1 \rangle)$	
$Q_1^0$	$p_B(1 0)$	$\langle Q_1^0 P_0^0 \rangle$	$\langle Q_1^0 P_1^0 \rangle$	$\langle Q_1^0 Q_0^0 \rangle$	$p_B(1 0)$	$p(\langle Q_1^0 P_1^0 \rangle)$	$p(\langle Q_1^0 P_1^1 \rangle)$	
$\vdots$								

$$\mathbf{p}(ab|xy) = \langle \psi, P_a^x Q_b^y \psi \rangle \quad \text{Projective mmts } \{P_a^x\}_a \text{ and } \{Q_b^y\}_b \text{ s.t. } [P_a^x, Q_b^y] = 0, \text{ and pure state } \psi$$

	$\mathbb{I}$	$P_0^0$	$P_1^0$	$Q_0^0$	$Q_1^0$	$P_0^1$	$P_1^1$	$\dots$
$\mathbb{I}$	$1$	$p_A(0 0)$	$p_A(1 0)$	$p_B(0 0)$	$p_B(1 0)$	$p_A(0 1)$	$p_A(1 1)$	
$P_0^0$	$p_A(0 0)$	$p_A(0 0)$	$\langle P_0^0 P_1^0 \rangle$	$p(00 00)$	$p(01 00)$	$\langle P_0^0 P_0^1 \rangle$	$\langle P_0^0 P_1^1 \rangle$	
$P_1^0$	$p_A(1 0)$	$\langle P_1^0 P_0^0 \rangle$	$p_A(1 0)$	$p(10 00)$	$p(11 00)$	$\langle P_1^0 P_0^1 \rangle$	$\langle P_1^0 P_1^1 \rangle$	
$Q_0^0$	$p_B(0 0)$	$\langle Q_0^0 P_0^0 \rangle$	$\langle Q_0^0 P_1^0 \rangle$	$p_B(0 0)$	$\langle Q_0^0 Q_1^0 \rangle$	$p(00 10)$	$p(10 10)$	
$Q_1^0$	$p_B(1 0)$	$\langle Q_1^0 P_0^0 \rangle$	$\langle Q_1^0 P_1^0 \rangle$	$\langle Q_1^0 Q_0^0 \rangle$	$p_B(1 0)$	$p(01 10)$	$p(11 10)$	
$\vdots$								

$$\mathbf{p}(ab|xy) = \langle \psi, P_a^x Q_b^y \psi \rangle \quad \text{Projective mmts } \{P_a^x\}_a \text{ and } \{Q_b^y\}_b \text{ s.t. } [P_a^x, Q_b^y] = 0, \text{ and pure state } \psi$$

## Moment matrix

	$\mathbb{I}$	$P_0^0$	$P_1^0$	$Q_0^0$	$Q_1^0$	$P_0^1$	$P_1^1$	$\dots$
$\mathbb{I}$	$1$	$p_A(0 0)$	$p_A(1 0)$	$p(0 0)$	$p(1 0)$	$p_A(0 1)$	$p_A(1 1)$	
$P_0^0$	$p_A(0 0)$	$p_A(0 0)$	$0$	$p(00 00)$	$p(01 00)$	$\langle P_0^0 P_0^1 \rangle$	$\langle P_0^0 P_1^1 \rangle$	
$P_1^0$	$p_A(1 0)$	$\langle P_1^0 P_0^0 \rangle$	$p_A(1 0)$	$p(10 00)$	$p(11 00)$	$\langle P_1^0 P_0^1 \rangle$	$\langle P_1^0 P_1^1 \rangle$	
$Q_0^0$	$p_B(0 0)$	$\cancel{\langle Q_0^0 P_0^0 \rangle}$	$\cancel{\langle Q_0^0 P_1^0 \rangle}$	$p_B(0 0)$	$0$	$p(00 10)$	$p(10 10)$	
$Q_1^0$	$p_B(1 0)$	$\cancel{\langle Q_1^0 P_0^0 \rangle}$	$\cancel{\langle Q_1^0 P_1^0 \rangle}$	$\cancel{\langle Q_0^0 P_0^0 \rangle}$	$p_B(1 0)$	$p(01 10)$	$p(11 10)$	
$\vdots$								



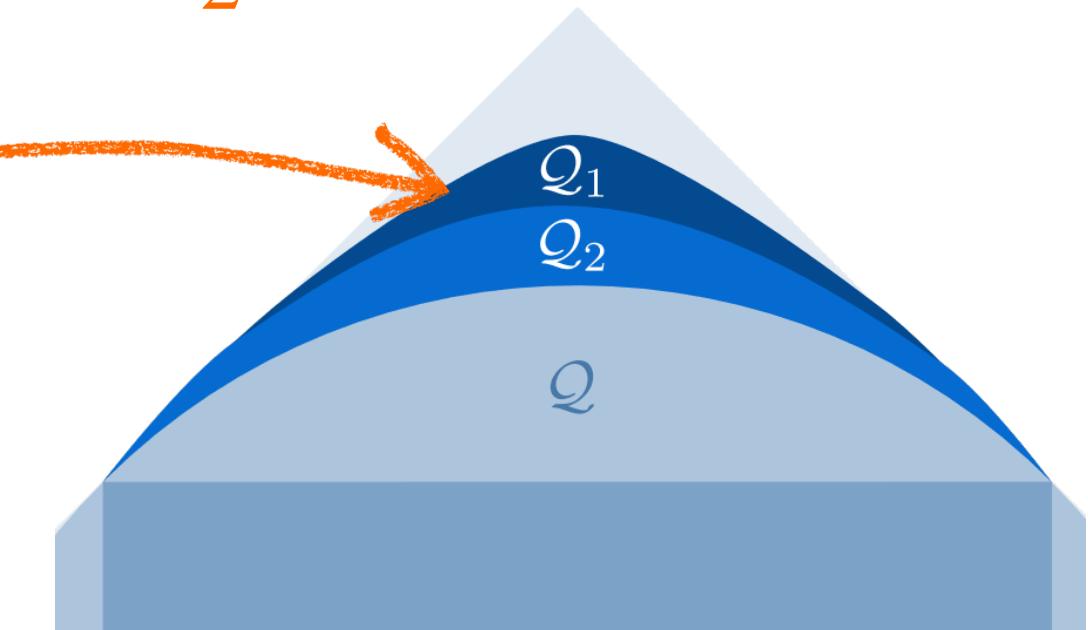
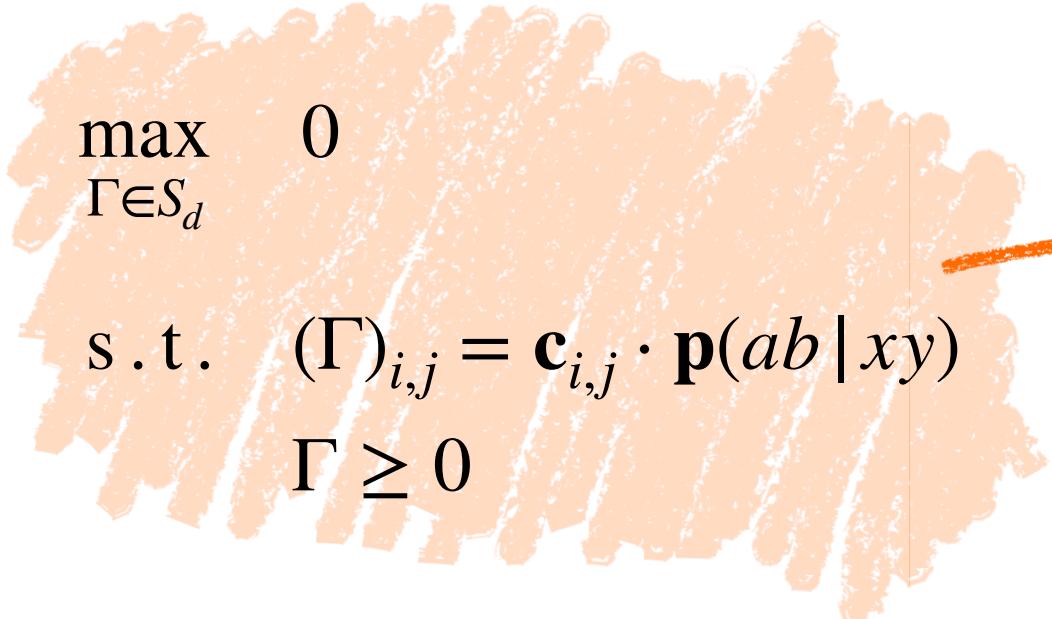
$$\mathbf{p}(ab|xy) = \langle \psi, P_a^x Q_b^y \psi \rangle \quad [P_a^x, Q_b^y] = 0 \quad (P_a^x)^2 = P_a^x \quad (Q_b^y)^2 = Q_b^y \quad \sum_a P_a^x = \sum_b Q_b^y = \mathbb{I}$$

$\implies$  There exists Hermitian  $\Gamma \geq 0$  such that  $(\Gamma)_{i,j} = \mathbf{c}_{i,j} \cdot \mathbf{p}(ab|xy)$

$\iff$  There exists symmetric  $\Gamma' \geq 0$  such that  $(\Gamma')_{i,j} = \mathbf{c}_{i,j} \cdot \mathbf{p}(ab|xy)$

$$\Gamma' = \frac{\Gamma + \Gamma^*}{2}$$

Related to [MMG09]



Also, maximise Bell functionals!

# Bell functionals and operators

Bell functional: linear functional on  $\mathbf{p} \in \mathbb{R}^t$

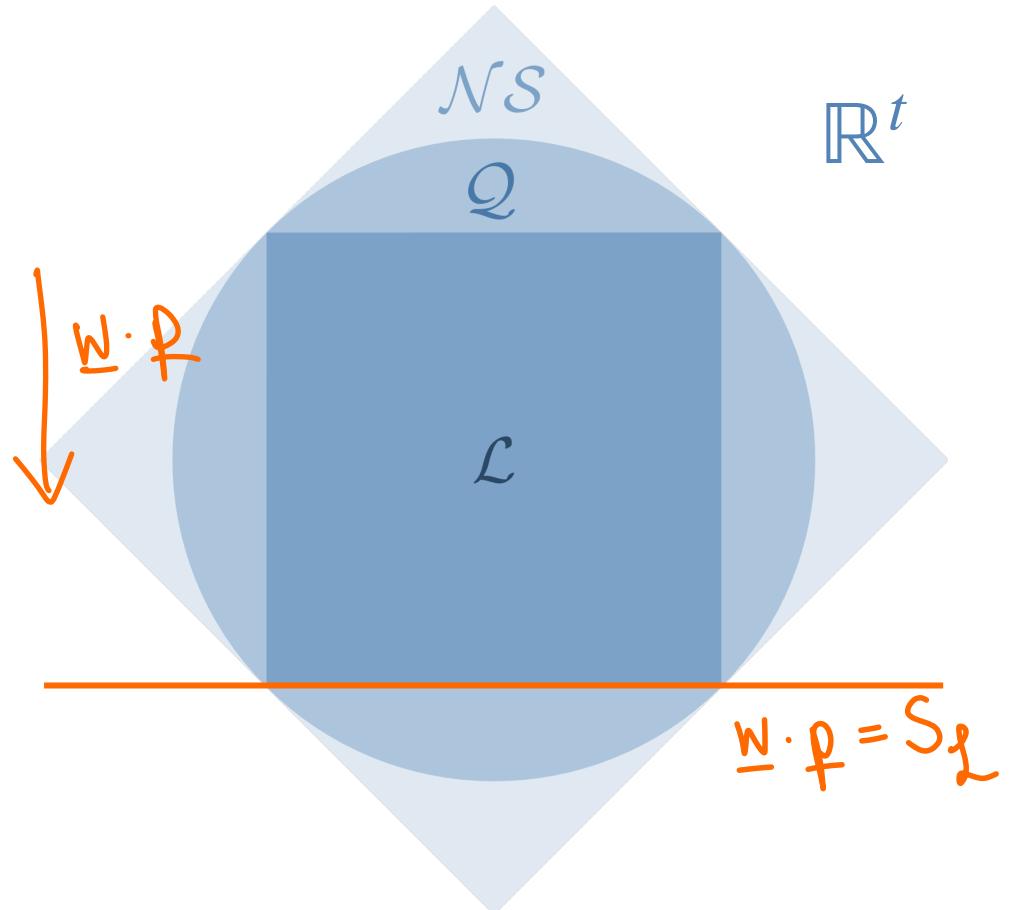
$$\mathbf{w} \cdot \mathbf{p} \in \mathbb{R}$$

Bell inequality: local bound on value of Bell functional

$$\mathbf{w} \cdot \mathbf{p} \leq S_{\mathcal{L}} \quad \forall \mathbf{p} \in \mathcal{L}$$

Quantum bound of Bell functional

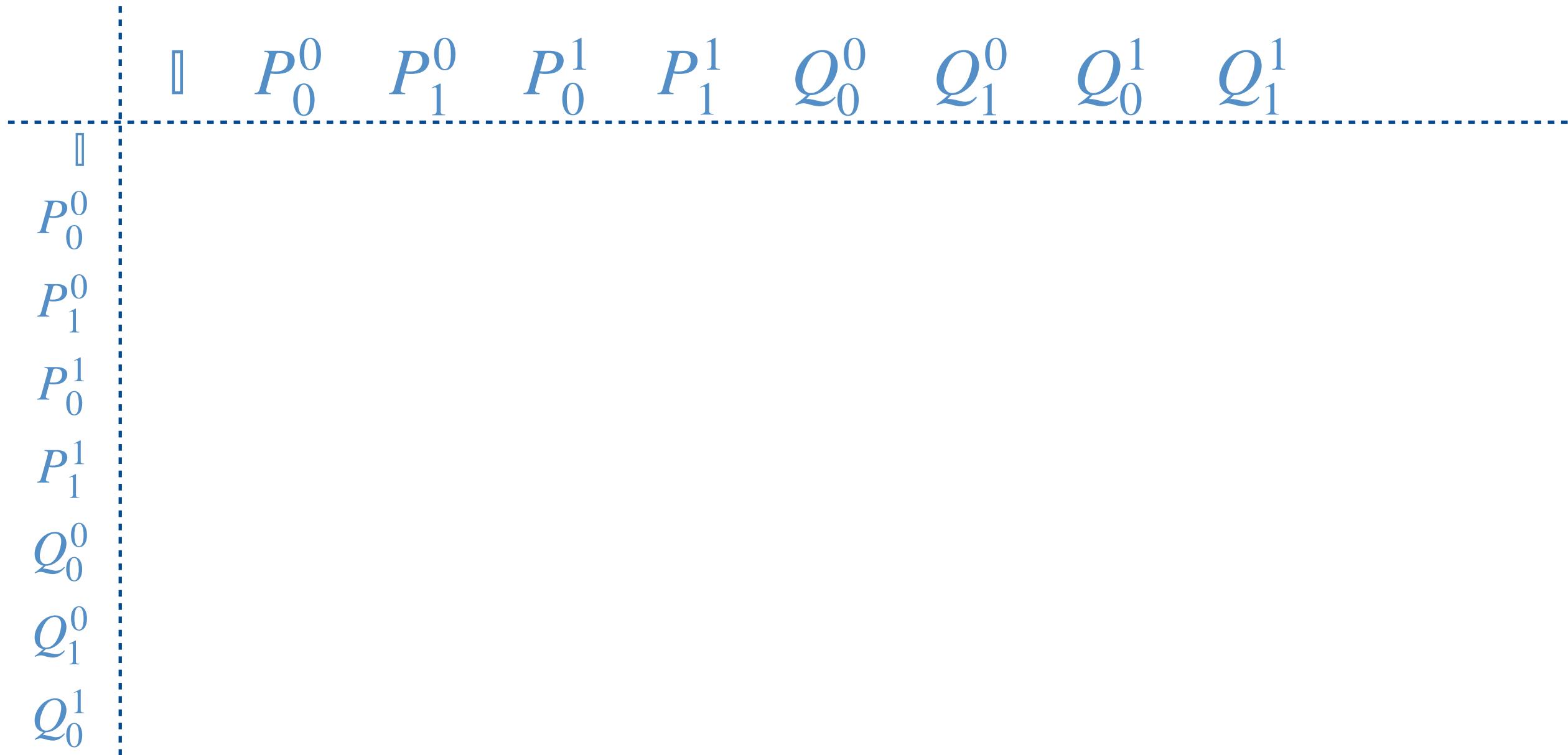
$$\mathbf{w} \cdot \mathbf{p} \leq S_Q$$



**Example: CHSH scenario**

**2 inputs 2 outputs**

$x, y, a, b \in \{0,1\}$



## Example: CHSH scenario

2 inputs 2 outputs

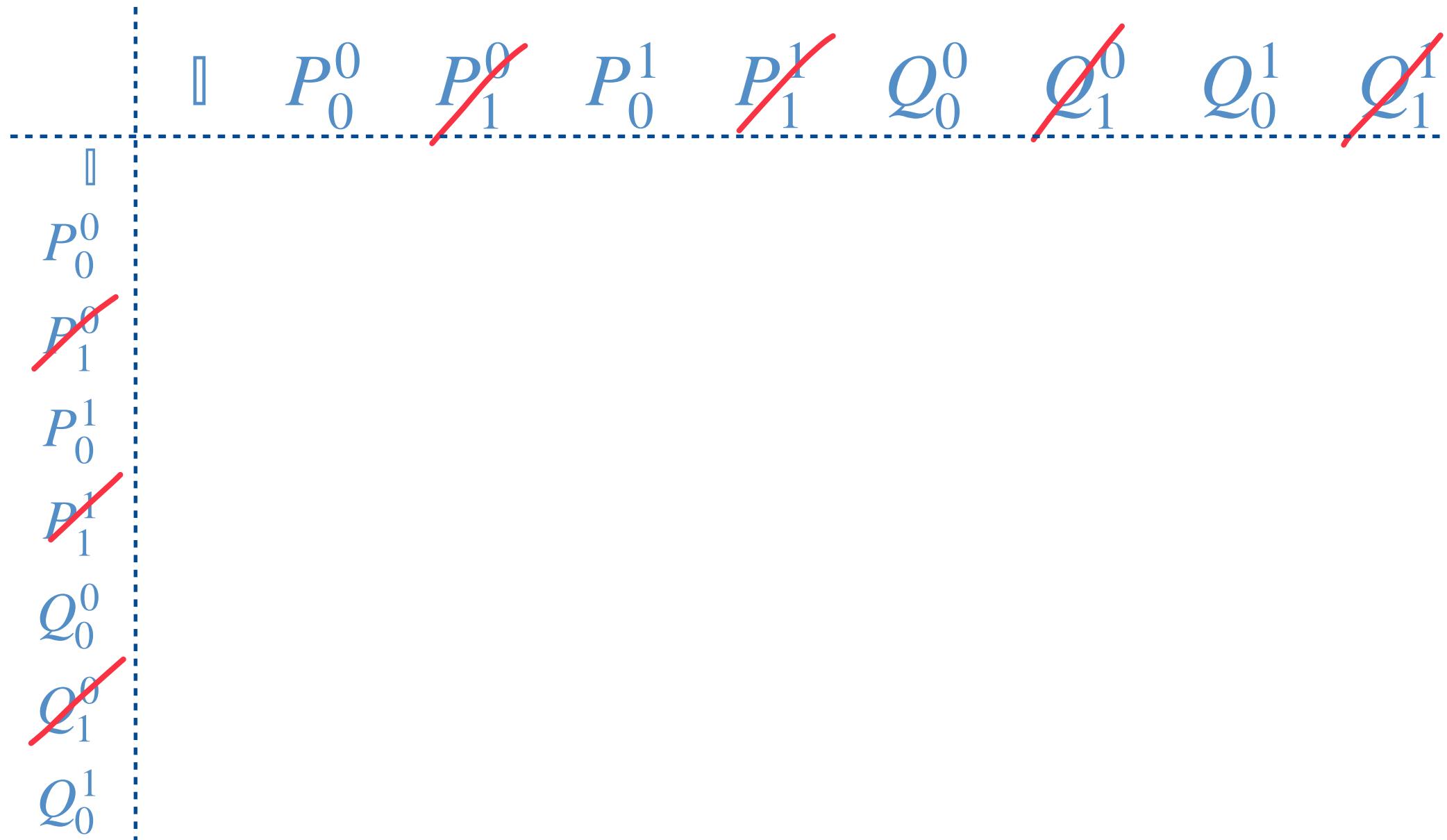
$x, y, a, b \in \{0,1\}$

	$\mathbb{I}$	$P_0^0$	$P_1^0$	$P_0^1$	$P_1^1$	$Q_0^0$	$Q_1^0$	$Q_0^1$	$Q_1^1$
$\mathbb{I}$	1	$\langle P_0^0 \rangle$	$\langle P_1^0 \rangle$			$= 1 - \langle P_0^0 \rangle$			
$P_0^0$	$\langle P_0^0 \rangle$	$\langle P_0^0 \rangle$	$\langle P_0^0 P_1^0 \rangle$		$= 0$				
$P_1^0$		•							
$P_0^1$		•							
$P_1^1$		•							
$Q_0^0$	$\langle Q_0^0 \rangle$	$\langle Q_0^0 P_0^0 \rangle$	$\langle Q_0^0 P_1^0 \rangle$		$= \langle Q_0^0 \rangle - \langle Q_0^0 P_0^0 \rangle$				
$Q_1^0$		•							
$Q_0^1$		•							
		•							

**Example: CHSH scenario**

**2 inputs 2 outputs**

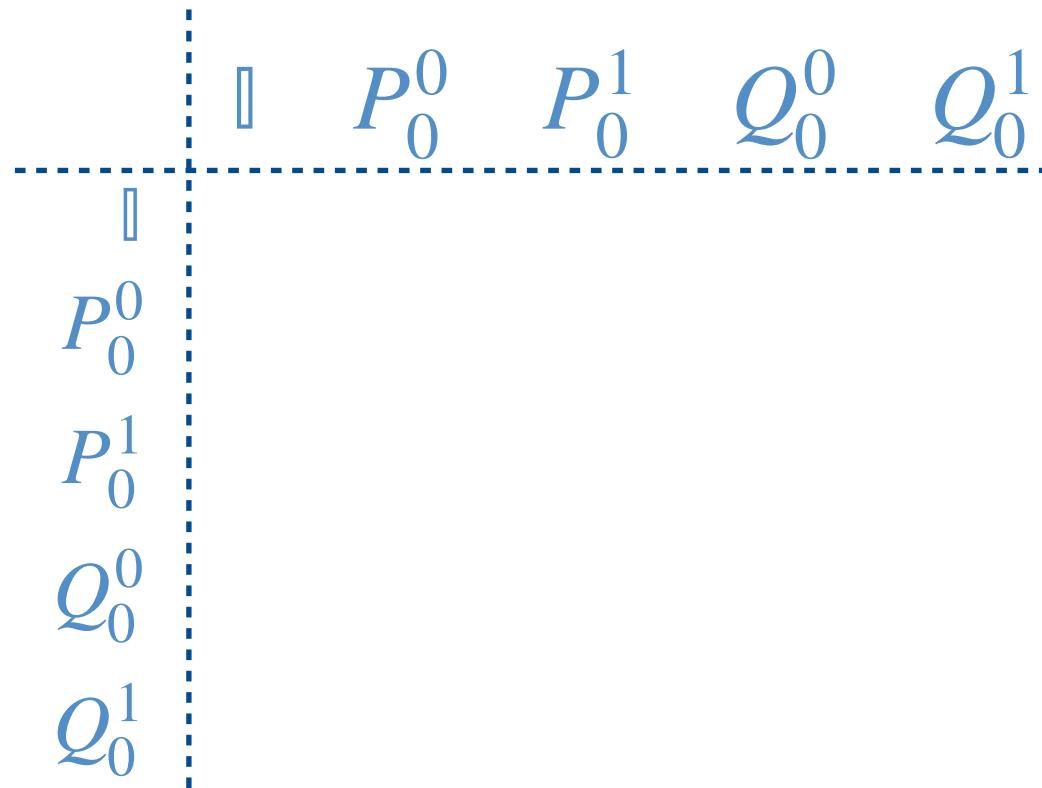
$x, y, a, b \in \{0,1\}$



## Example: CHSH scenario

**2 inputs 2 outputs**

$x, y, a, b \in \{0,1\}$



One operator for each measurement

Use observables instead

$$A_x = P_0^x - P_1^x$$

$$B_y = Q_0^y - Q_1^y$$

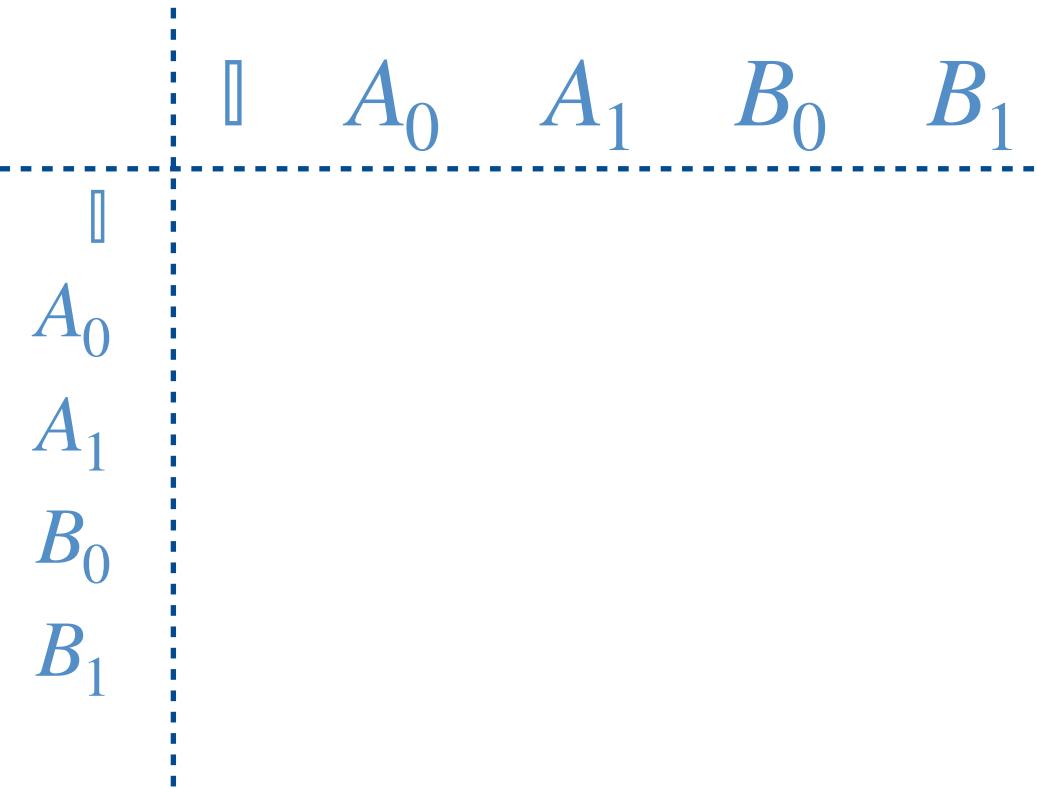
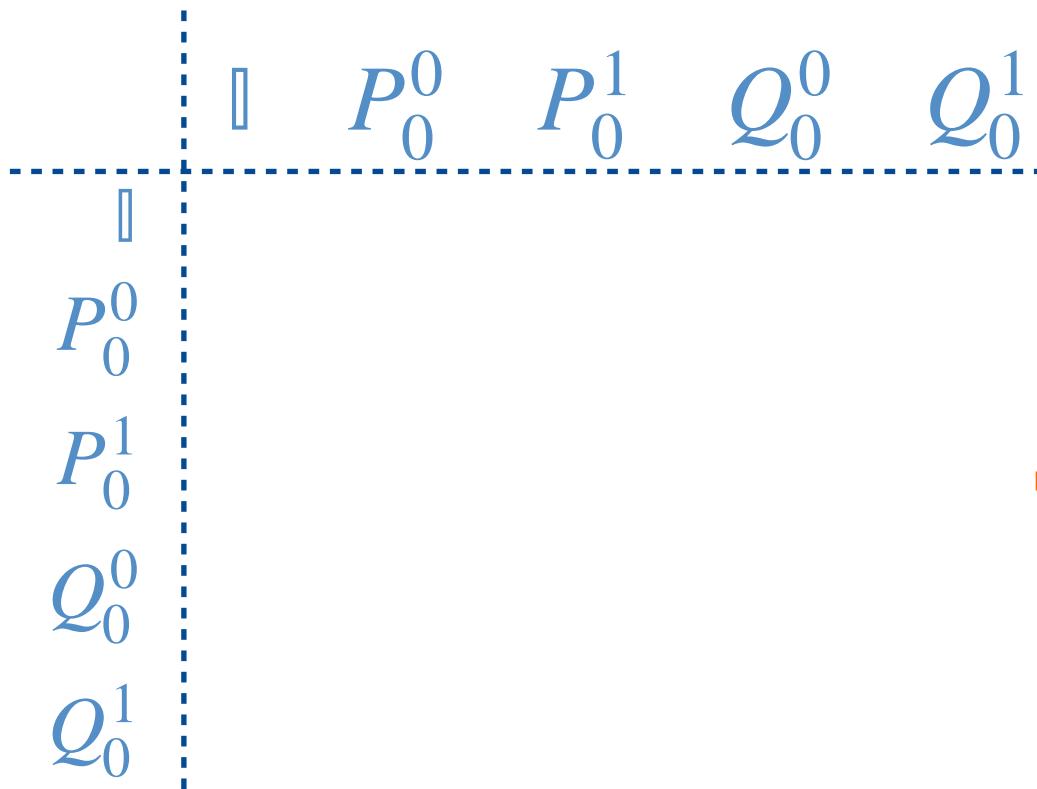
Neat CHSH inequality:

$$\langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_1 B_0 \rangle - \langle A_1 B_1 \rangle \leq 2$$

## Example: CHSH scenario

2 inputs 2 outputs

$x, y, a, b \in \{0,1\}$



## Example: CHSH scenario

2 inputs 2 outputs

$x, y, a, b \in \{0,1\}$

	$\mathbb{I}$	$A_0$	$A_1$	$B_0$	$B_1$
$\mathbb{I}$	$\langle \mathbb{I} \rangle$	$\langle A_0 \rangle$	$\langle A_1 \rangle$	$\langle B_0 \rangle$	$\langle B_1 \rangle$
$A_0$	$\langle A_0 \rangle$	$\langle (A_0)^2 \rangle$	$\langle A_0 A_1 \rangle$	$\langle A_0 B_0 \rangle$	$\langle A_0 B_1 \rangle$
$A_1$	$\langle A_1 \rangle$	$\langle A_1 A_0 \rangle$	$\langle (A_1)^2 \rangle$	$\langle A_1 B_0 \rangle$	$\langle A_1 B_1 \rangle$
$B_0$	$\langle B_0 \rangle$	$\langle B_0 A_0 \rangle$	$\langle B_0 A_1 \rangle$	$\langle (B_0)^2 \rangle$	$\langle B_0 B_1 \rangle$
$B_1$	$\langle B_1 \rangle$	$\langle B_1 A_0 \rangle$	$\langle B_1 A_1 \rangle$	$\langle B_1 B_0 \rangle$	$\langle (B_1)^2 \rangle$

## Example: CHSH scenario

2 inputs 2 outputs

$x, y, a, b \in \{0,1\}$

		$A_0$	$A_1$	$B_0$	$B_1$
	1	$\langle A_0 \rangle$	$\langle A_1 \rangle$	$\langle B_0 \rangle$	$\langle B_1 \rangle$
$A_0$	$\langle A_0 \rangle$	1	$\langle A_0 A_1 \rangle$	$\langle A_0 B_0 \rangle$	$\langle A_0 B_1 \rangle$
$A_1$	$\langle A_1 \rangle$	$\langle A_1 A_0 \rangle$	1	$\langle A_1 B_0 \rangle$	$\langle A_1 B_1 \rangle$
$B_0$	$\langle B_0 \rangle$	$\langle B_0 A_0 \rangle$	$\langle B_0 A_1 \rangle$	1	$\langle B_0 B_1 \rangle$
$B_1$	$\langle B_1 \rangle$	$\langle B_1 A_0 \rangle$	$\langle B_1 A_1 \rangle$	$\langle B_1 B_0 \rangle$	1

## Example: CHSH scenario

2 inputs 2 outputs

$x, y, a, b \in \{0,1\}$

	$\mathbb{I}$	$A_0$	$A_1$	$B_0$	$B_1$
$\mathbb{I}$	1	$\langle A_0 \rangle$	$\langle A_1 \rangle$	$\langle B_0 \rangle$	$\langle B_1 \rangle$
$A_0$	$\langle A_0 \rangle$	1	$\langle A_0 A_1 \rangle$	$\langle A_0 B_0 \rangle$	$\langle A_0 B_1 \rangle$
$A_1$	$\langle A_1 \rangle$	$\langle A_1 A_0 \rangle$	1	$\langle A_1 B_0 \rangle$	$\langle A_1 B_1 \rangle$
$B_0$	$\langle B_0 \rangle$	$\langle B_0 A_0 \rangle$	$\langle B_0 A_1 \rangle$	1	$\langle B_0 B_1 \rangle$
$B_1$	$\langle B_1 \rangle$	$\langle B_1 A_0 \rangle$	$\langle B_1 A_1 \rangle$	$\langle B_1 B_0 \rangle$	1

$$\begin{aligned}
 & \max_{\Gamma \in S_5} \quad \Gamma_{2,4} + \Gamma_{2,5} + \Gamma_{3,4} - \Gamma_{3,5} \\
 \text{s.t.} \quad & (\Gamma)_{j,j} = 1 \\
 & \Gamma \geq 0
 \end{aligned}$$

Neat CHSH inequality:  $\langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_1 B_0 \rangle - \langle A_1 B_1 \rangle \leq 2$

```

+2 twosuiteffet.m x upperbounds2.m x squitvert.m x minval.m x twoquitextremalstates.m x twoquitstate.m x CHSHviolation.m x actualset.m x oddpolverts.m x minaqbellfunctional.m x SOS.m x CHSH.m +
1 function maxval = CHSH
2 % yalmip('clear');
3 gamma = sdpvar(5); %Initialising moment matrix as a 5x5 symmetric matrix
4 C = 0.5*[0,0,0,0;0,0,0,1,1;0,0,0,1,-1;0,1,1,0,0;0,1,-1,0,0];
5 %CHSH bell functional: Tr(C*Gamma) is the Bell operator
6 Con = [gamma>=0;gamma(1,1) == 1;gamma(2,2) == 1;gamma(3,3) == 1;gamma(4,4) == 1;gamma(5,5) == 1]; % Constraints of the SDP, moment matrix must be positive and one on diagonals
7 eta = trace(C*gamma); %objective function
8 diagnostics = optimize([Con], -eta , sdpsettings('solver', 'mosek','verbose',1)); %optimisation: -eta is the objective function since YALMIP minimises and we want to maximise
9 moment = value(gamma) %prints the optimal moment matrix
10 maxval = value(eta);% returns the maximum value of the CHSH functional in Q1
11 end

```

Matlab+YALMIP+MOSEK

Command Window

Interior-point solution summary

Problem status : PRIMAL\_AND\_DUAL\_FEASIBLE

Solution status : OPTIMAL

Primal. obj: 2.8284271247e+00 nrm: 1e+00 Viol. con: 2e-13 var: 0e+00 barvar: 0e+00

Dual. obj: 2.8284271247e+00 nrm: 1e+00 Viol. con: 0e+00 var: 3e-13 barvar: 2e-13

Optimizer summary

Optimizer	-	time: 0.14
Interior-point	- iterations : 4	time: 0.13
Basis identification	-	time: 0.00
Primal	- iterations : 0	time: 0.00
Dual	- iterations : 0	time: 0.00
Clean primal	- iterations : 0	time: 0.00
Clean dual	- iterations : 0	time: 0.00
Simplex	-	time: 0.00
Primal simplex	- iterations : 0	time: 0.00
Dual simplex	- iterations : 0	time: 0.00
Mixed integer	- relaxations: 0	time: 0.00

moment =

$$\begin{matrix} 1.0000 & 0 & 0 & 0 & 0 \\ 0 & 1.0000 & -0.0000 & 0.7071 & 0.7071 \\ 0 & -0.0000 & 1.0000 & 0.7071 & -0.7071 \\ 0 & 0.7071 & 0.7071 & 1.0000 & 0.0000 \\ 0 & 0.7071 & -0.7071 & 0.0000 & 1.0000 \end{matrix}$$

ans =

fx

2.8284

$$F = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \\ 0 & 0 & 1 & \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} \\ 0 & \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} & 1 & 0 \\ 0 & \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} & 0 & 1 \end{pmatrix}$$

Ex.: Find the maximum value in  $Q_1$  of the titled CHSH inequality for some  $0 \leq \alpha \leq 2$

$$\alpha \langle A_0 \rangle + \langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_1 B_0 \rangle - \langle A_1 B_1 \rangle$$

$2\sqrt{2}$

$$\mathbf{p}(ab|xy) = \langle \psi, P_a^x Q_b^y \psi \rangle \quad \text{Projective mmts } \{P_a^x\}_a \text{ and } \{Q_b^y\}_b \text{ s.t. } [P_a^x, Q_b^y] = 0, \text{ and pure state } \psi$$

**LEVEL 1**

Gram matrix of the vectors

$$\left\{ A\psi \mid A \in \{\mathbb{I}, P_a^x, Q_b^y\} \right\}$$

**LEVEL 2**

Gram matrix of the vectors

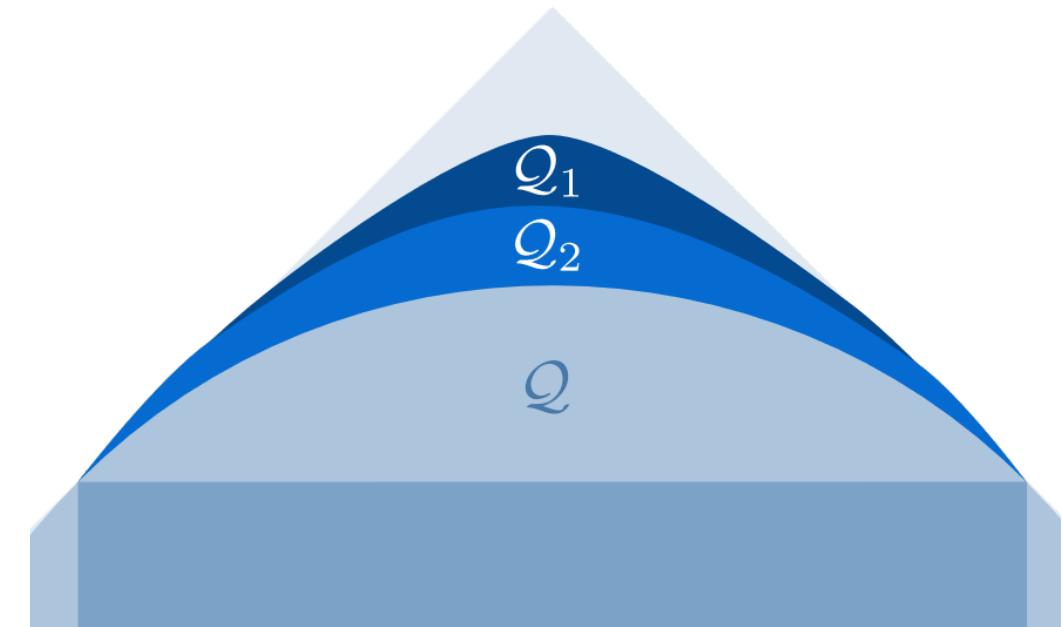
$$\left\{ AB\psi \mid A, B \in \{\mathbb{I}, P_a^x, Q_b^y\} \right\}$$

⋮

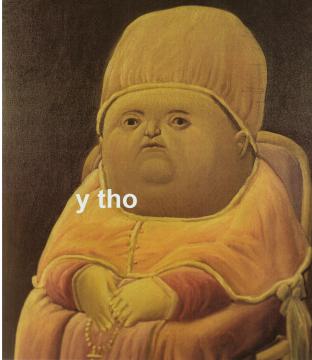
**NPA hierarchy** [NPA08] etc.

Converges to  $\mathcal{Q}_C$

Implementation [Wittek15]



# Where did this moment matrix come from? [NPA12]



Polynomial optimisation a la Lasserre

$$\min_{x,y \in \mathbb{R}} xy$$

Polynomials linear in new variables

$$\begin{aligned} \text{s.t. } & x^2 - x = 0 \\ & -y^2 + y + \frac{1}{2} \geq 0 \end{aligned}$$

Relationships between new variables e.g.  
 $m_2 m_2 = m_{22}$  relaxed via positivity of a matrix

$$\begin{pmatrix} 1 & m_1 & m_2 \\ m_1 & m_1 & m_{12} \\ m_2 & m_{12} & m_{22} \end{pmatrix} \geq 0$$

$$\mathcal{B} = \{1, x, y, y^2, xy\} = \{m_0, m_1, m_2, m_{22}, m_{12}\}$$

Bell operators are noncommutative polynomials!

$$\langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_1 B_0 \rangle - \langle A_1 B_1 \rangle = \langle \psi, (A_0 B_0 + A_0 B_1 + A_1 B_0 - A_1 B_1) \psi \rangle$$

noncommutative polynomial

## Cool things about NPA

- General approach for dealing with unbounded dimension
- Dual gives Sum-of-Squares (SOS) decomposition of “Bell operator”

[IR21]

$$\langle \psi, (A_0B_0 + A_0B_1 + A_1B_0 - A_1B_1)\psi \rangle$$

$\underbrace{\qquad\qquad\qquad}_{\langle \psi, W\psi \rangle \leq \lambda}$

largest eigenvalue  
of  $W$

$$\langle \psi, W\psi \rangle \leq \langle \psi, \lambda \mathbb{I} \psi \rangle$$

$\lambda$  is an upper bound on  $\max$  quantum value of  $W$   $\Leftarrow \lambda \mathbb{I} - W \geq 0$  holds  $\wedge \lambda \geq$  largest eigenvalue

How to show  $\lambda \mathbb{I} - W \geq 0$ ?

SOS-decomposition

$$\lambda \mathbb{I} - W = \sum_i P_i^+ P_i^-$$

- Level 1+AB “Almost quantum correlations” [NGHA15]
- Solve the MUB problem? [NPA12]

# Contextuality

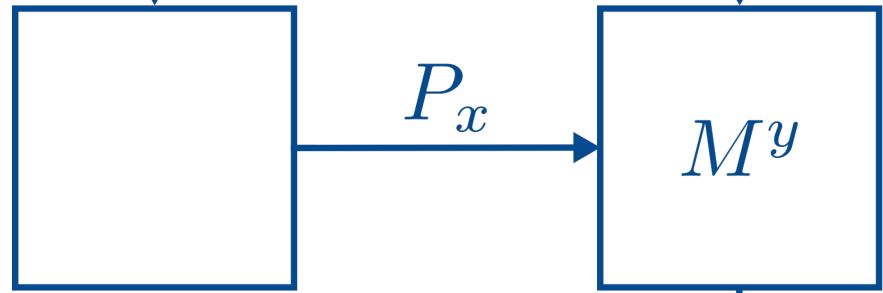


# Contextuality



$$x \in [X]$$

$$y \in [Y]$$



## Contextuality scenario

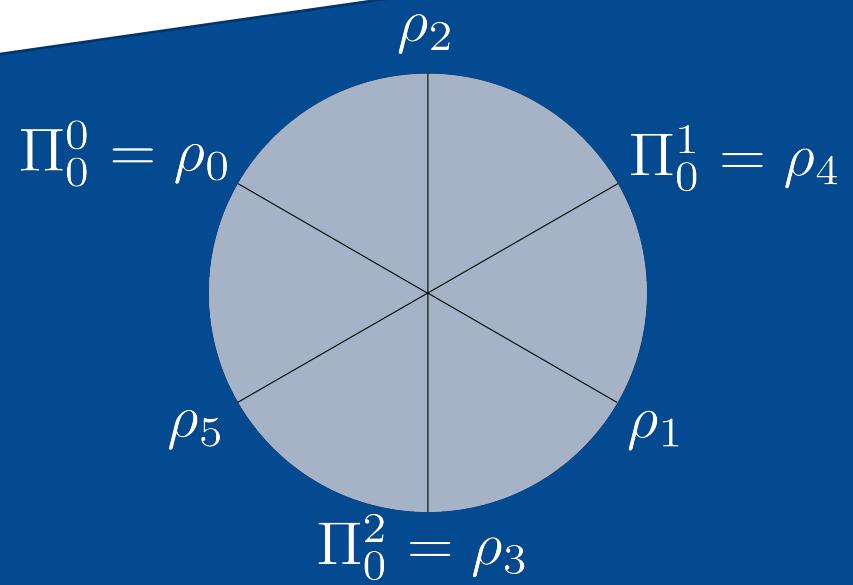
$$T = (X, Y, K, \mathcal{OE}_P, \mathcal{OE}_M)$$

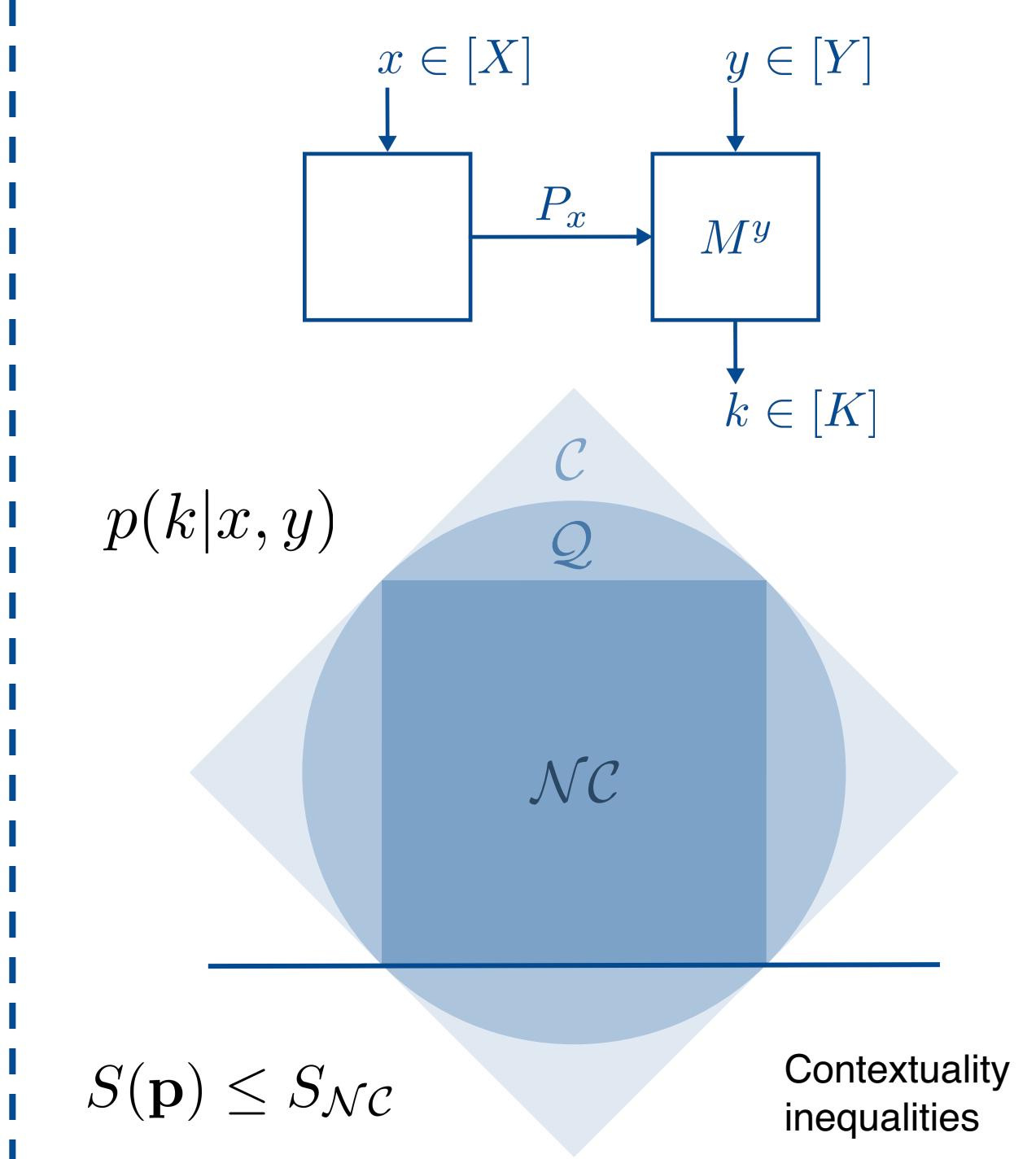
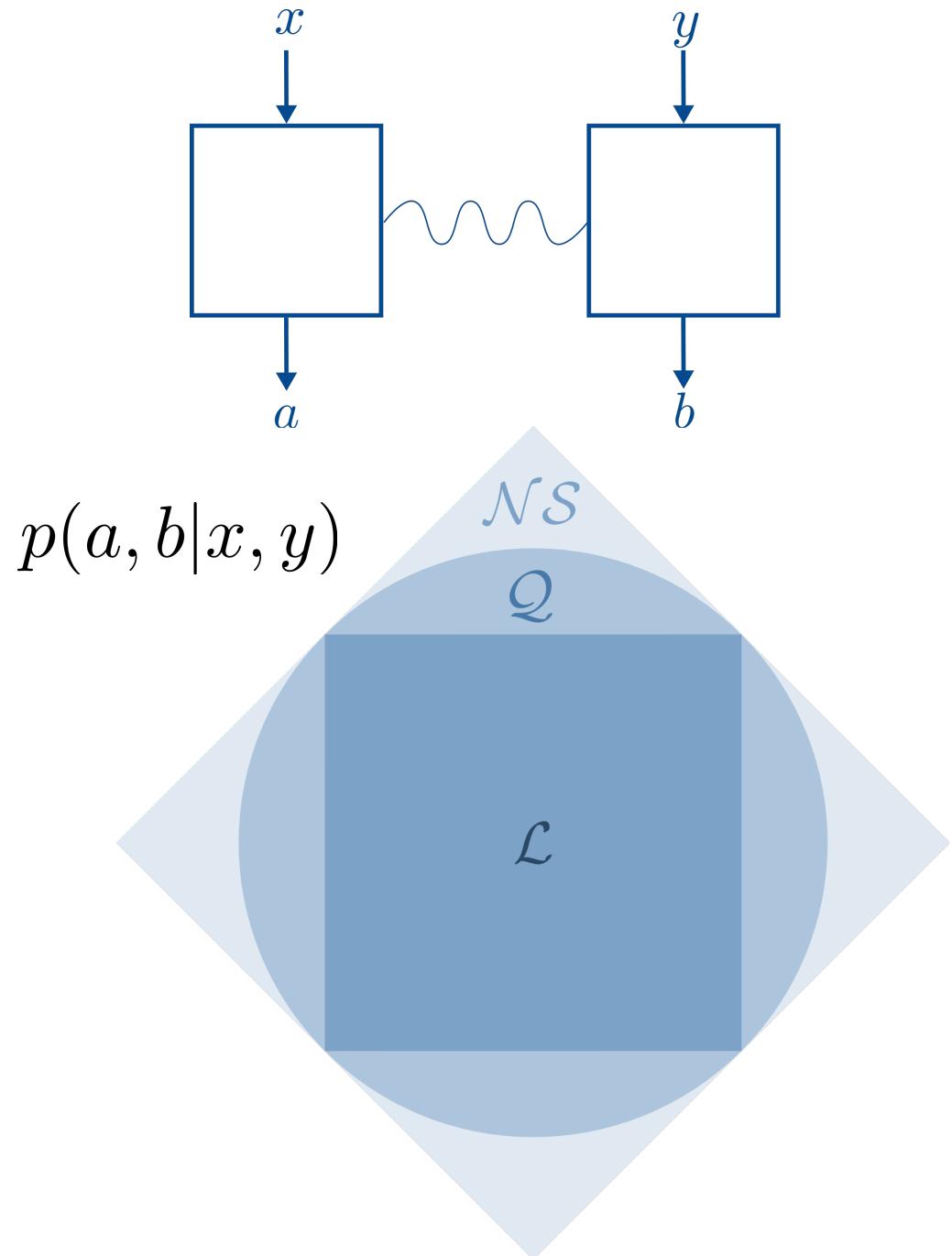
$$p(k|x, y) \quad \mathbf{p} \in \mathbb{R}^{XYK}$$

# Example

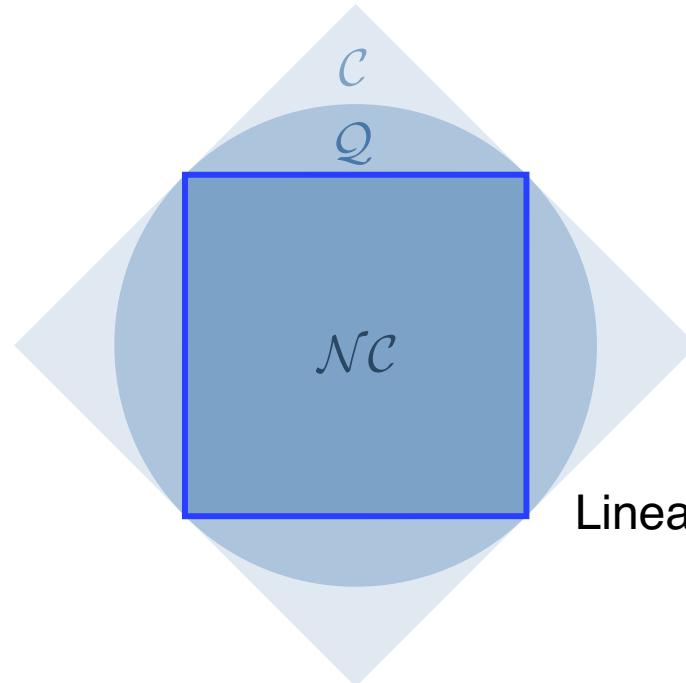
$$\frac{1}{2}(P_0 + P_1) \simeq \frac{1}{2}(P_2 + P_3) \simeq \frac{1}{2}(P_4 + P_5)$$

$$\frac{1}{3}([0|M_0] + [0|M_1] + [0|M_2]) \simeq \frac{1}{3}([1|M_0] + [1|M_1] + [1|M_2])$$

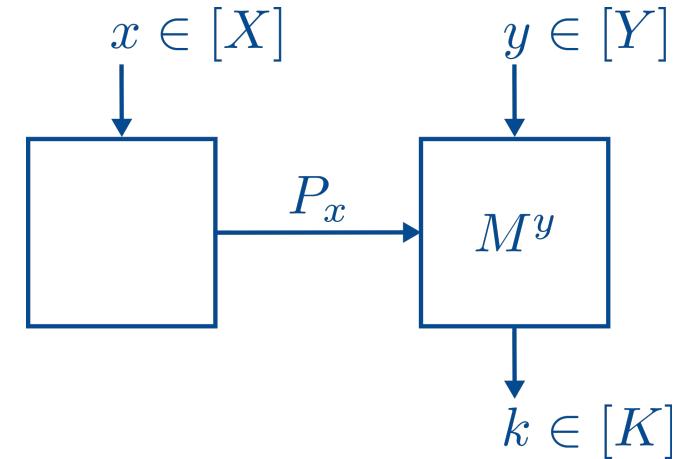




## Noncontextual set



Linear program [SSW18]



$$S(\mathbf{p}) = p(0|0,0) + p(0|1,1) + p(0|4,2) \leq 2.5$$

$$\frac{1}{2}(P_0 + P_1) \simeq \frac{1}{2}(P_2 + P_3) \simeq \frac{1}{2}(P_4 + P_5)$$

$$\frac{1}{3}([0|M_0] + [0|M_1] + [0|M_2]) \simeq \frac{1}{3}([1|M_0] + [1|M_1] + [1|M_2])$$

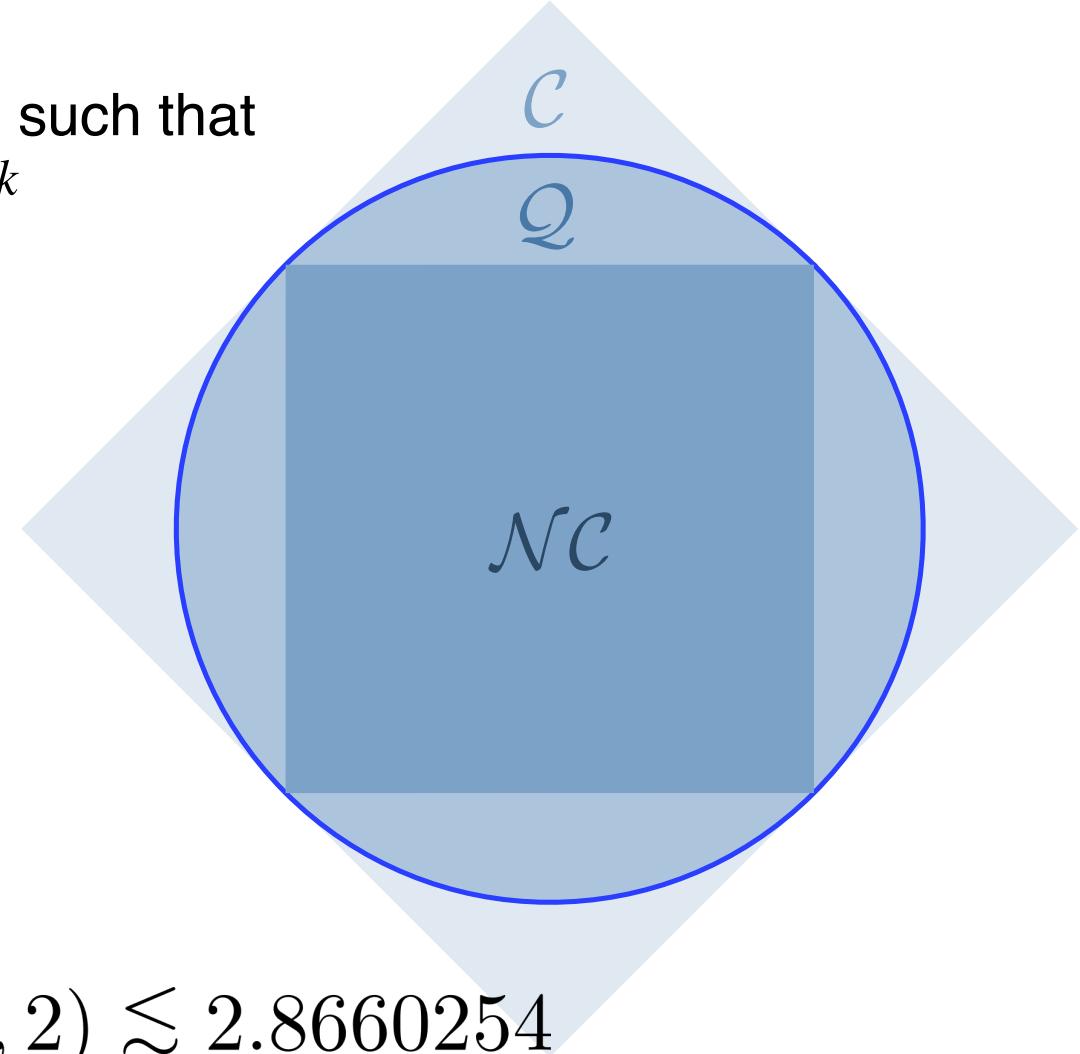
## Quantum contextuality: when is $p \in \mathcal{Q}$ ?

There exist density operators  $\rho_x$  and POVMs  $\left\{ E_k^y \right\}_k$  such that

$$\text{Tr}(E_k^y \rho_x) = p(k|x, y)$$

$$\frac{1}{2}(\rho_0 + \rho_1) = \frac{1}{2}(\rho_2 + \rho_3) = \frac{1}{2}(\rho_4 + \rho_5)$$

$$\frac{1}{3}(E_0^0 + E_0^1 + E_0^2) = \frac{1}{3}(E_1^0 + E_1^1 + E_1^2)$$



$$S(\mathbf{p}) = p(0|0, 0) + p(0|1, 1) + p(0|4, 2) \lesssim 2.8660254$$

## Differences from nonlocality

There exist ~~density operators~~  $\rho_x$  and POVMs  $\{E_k^y\}_k$  such that

$|\Psi_x\rangle\langle\Psi_x|$

$$\text{Tr}(E_k^y \rho_x) = p(k|x,y)$$

$$\frac{1}{2}(\rho_0 + \rho_1) = \frac{1}{2}(\rho_2 + \rho_3) = \frac{1}{2}(\rho_4 + \rho_5)$$

$$\frac{1}{3}(E_0^0 + E_0^1 + E_0^2) = \frac{1}{3}(E_1^0 + E_1^1 + E_1^2)$$

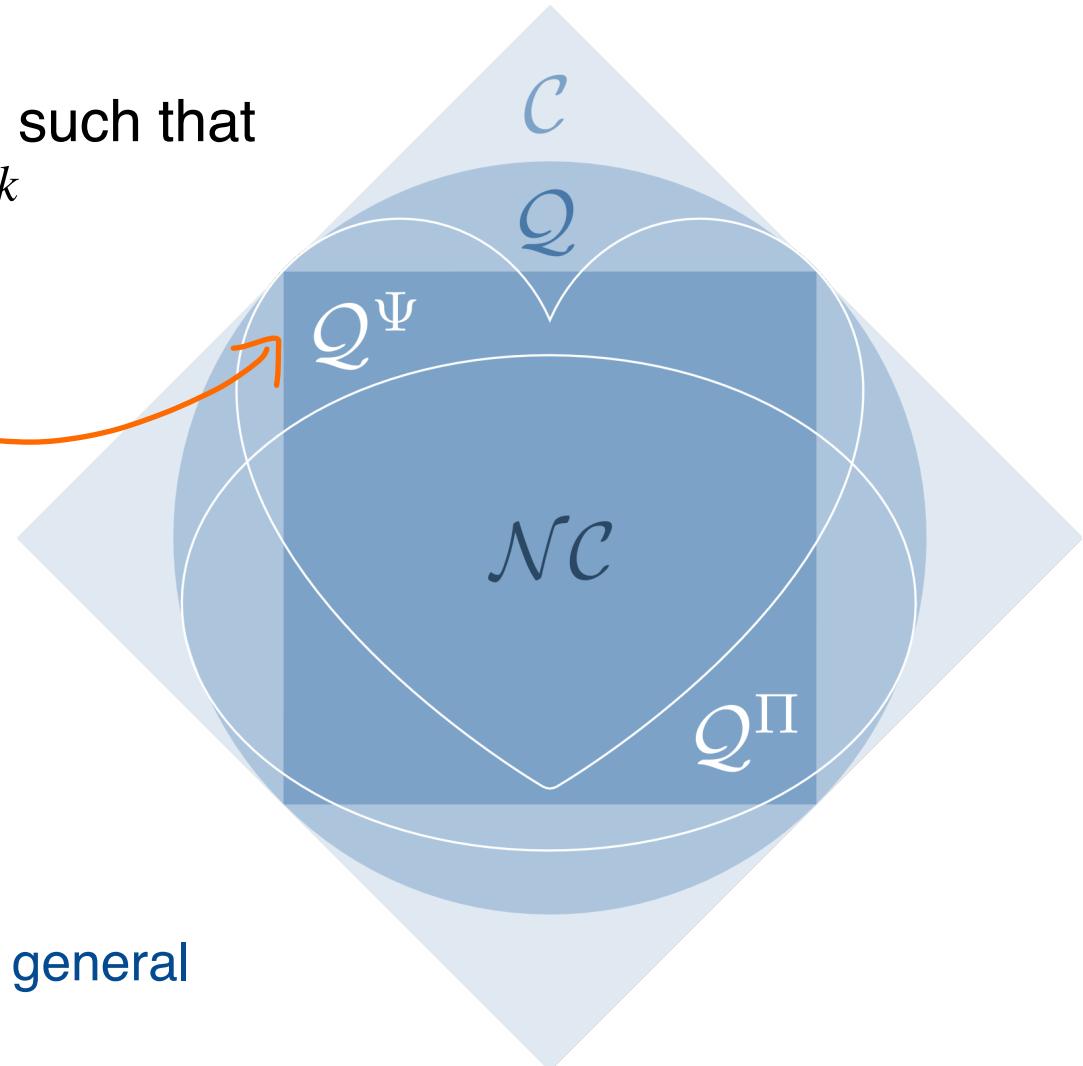
non-trivial preparation  
equivalences

non-trivial measurement  
equivalences

$$Q^\Psi \subsetneq Q$$

$$Q^\Pi \subsetneq Q$$

in general



## Differences from nonlocality

There exist density operators  $\rho_x$  and ~~POVMs~~  $\{P_k^y\}_k$  such that

$$\text{Tr}(E_k^y \rho_x) = p(k|x, y)$$

$$\frac{1}{2}(\rho_0 + \rho_1) = \frac{1}{2}(\rho_2 + \rho_3) = \frac{1}{2}(\rho_4 + \rho_5)$$

$$\frac{1}{3}(E_0^0 + E_0^1 + E_0^2) = \frac{1}{3}(E_1^0 + E_1^1 + E_1^2)$$

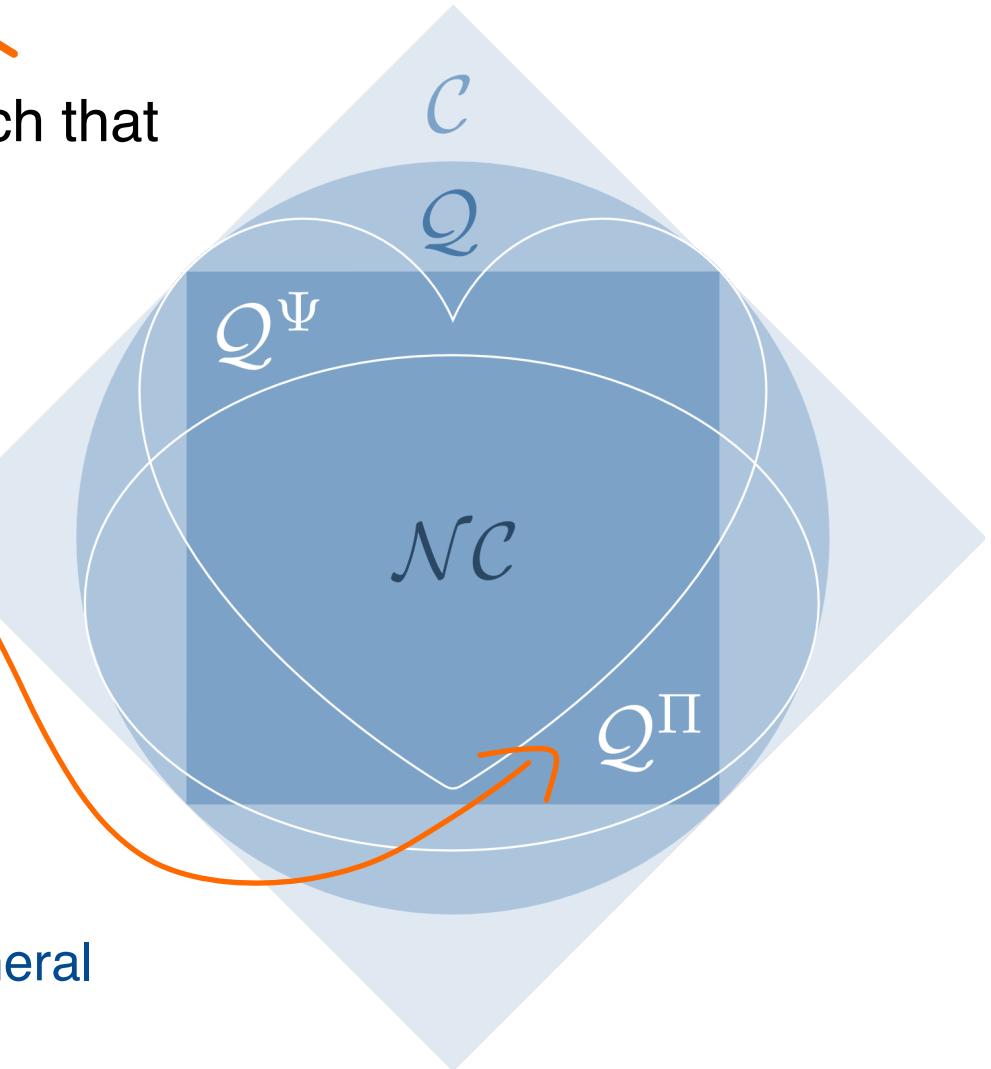
non-trivial preparation  
equivalences

non-trivial measurement  
equivalences

$$Q^\Psi \subsetneq Q$$

$$Q^\Pi \subsetneq Q$$

in general



## SDP relaxation

$p(k|x,y) = \text{Tr} \left( E_k^y \rho_x \right)$  for some density operators  $\rho_x$  and POVMs  $\left\{ E_k^y \right\}_k$

Gram matrices

$$(\Gamma_x)_{j,k} = \text{Tr}(O_j^\dagger O_k \rho_x)$$

$$\{O_j \rho_x^{1/2}\}_j$$

$$\langle A, B \rangle = \text{Tr}(A^\dagger B)$$

Hilbert-Schmidt

$$(\Gamma_x)_{j,k} = \text{Tr} \left[ (O_j \rho_x^{1/2})^\dagger O_k \rho_x^{1/2} \right]$$

$$= \text{Tr} \left( \rho_x^{1/2} O_j^\dagger O_k \rho_x^{1/2} \right)$$

$$= \text{Tr} \left( O_j^\dagger O_k \rho_x \right)$$

## SDP relaxation

$p(k|x, y) = \text{Tr} \left( E_k^y \rho_x \right)$  for some density operators  $\rho_x$  and POVMs  $\left\{ E_k^y \right\}_k$

Gram matrices

$$(\Gamma_x)_{j,k} = \text{Tr}(O_j^\dagger O_k \rho_x)$$

$$\{0_1, 0_2, \dots\} = \{E_0^0, E_0^1, E_0^2\}$$

	$\mathbb{I}$	$E_0^0$	$E_0^1$	$E_0^2$	
$\mathbb{I}$	1	$\text{Tr}(E_0^0 \rho_x)$	$\text{Tr}(E_0^1 \rho_x)$	$\text{Tr}(E_0^2 \rho_x)$	
$E_0^0$	$\text{Tr}(E_0^0 \rho_x)$	$\text{Tr}(E_0^{0^2} \rho_x)$			
$E_0^1$	$\text{Tr}(E_0^1 \rho_x)$	$\text{Tr}(E_0^0 E_0^1 \rho_x)$	$\text{Tr}(E_0^{1^2} \rho_x)$		
$E_0^2$	$\text{Tr}(E_0^2 \rho_x)$			$\text{Tr}(E_0^{2^2} \rho_x)$	

unbounded!

=  $\Gamma_x$

HOWEVER, currently very bad!

Relaxation:

$$\exists \Gamma_x \geq 0 \quad \text{s.t.} \quad \frac{1}{2}\Gamma_0 + \frac{1}{2}\Gamma_1 = \frac{1}{2}\Gamma_2 + \frac{1}{2}\Gamma_3 = \frac{1}{2}\Gamma_4 + \frac{1}{2}\Gamma_5 \quad (\Gamma_x)_{\mathbb{I}, E_k^y} = p(k|x, y)$$

operational equivalences

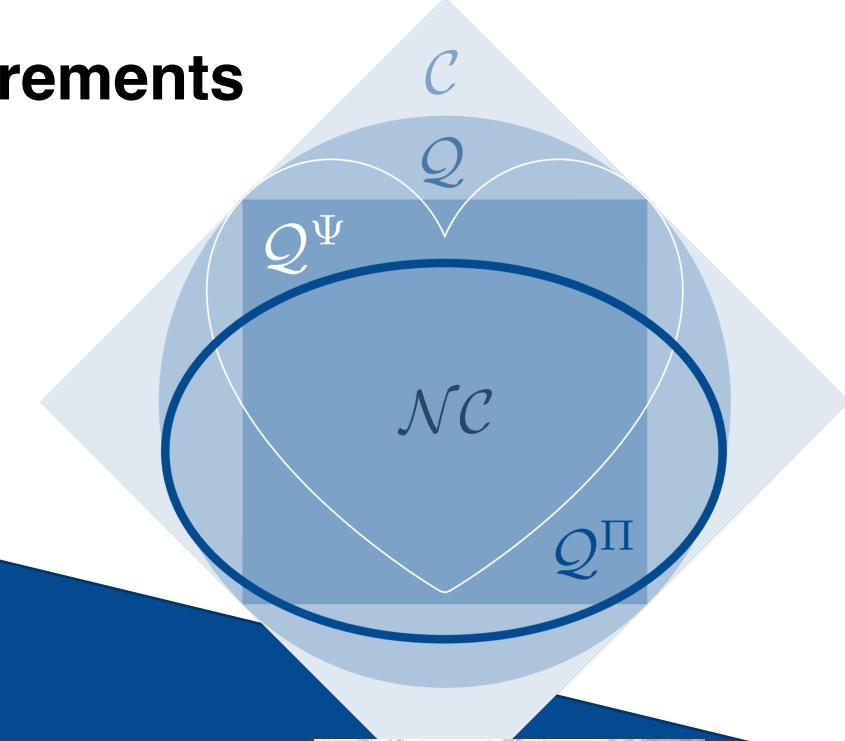
## PROBLEM: cannot assume projective measurements

SOLUTION: a helpful lemma!

$$E = \frac{\mathbb{I}}{2} + \frac{U+U^\dagger}{4}$$

Instead use the operators  $U_k^y$  and  $U_k^{y\dagger}$  to index the moment matrix.

$$(\Gamma_x)_{\mathbb{I}, U_k^y} + (\Gamma_x)_{\mathbb{I}, U_k^{y\dagger}} = 4 \left( p(k|x, y) - \frac{1}{2} \right)$$



$$(\Gamma_x)_{U_k^y, U_k^y} = 1$$

$$p(k|x,y) = \text{Tr} \left( E_k^y \rho_x \right) \text{ for some density operators } \rho_x \text{ and POVMs } \left\{ E_k^y \right\}_k$$

**LEVEL 1**

Gram matrix of the vectors

$$\left\{ O \rho_x^{1/2} \mid O \in \{\mathbb{I}, U_k^y, (U_k^y)^\dagger\} \right\}$$

**LEVEL 2**

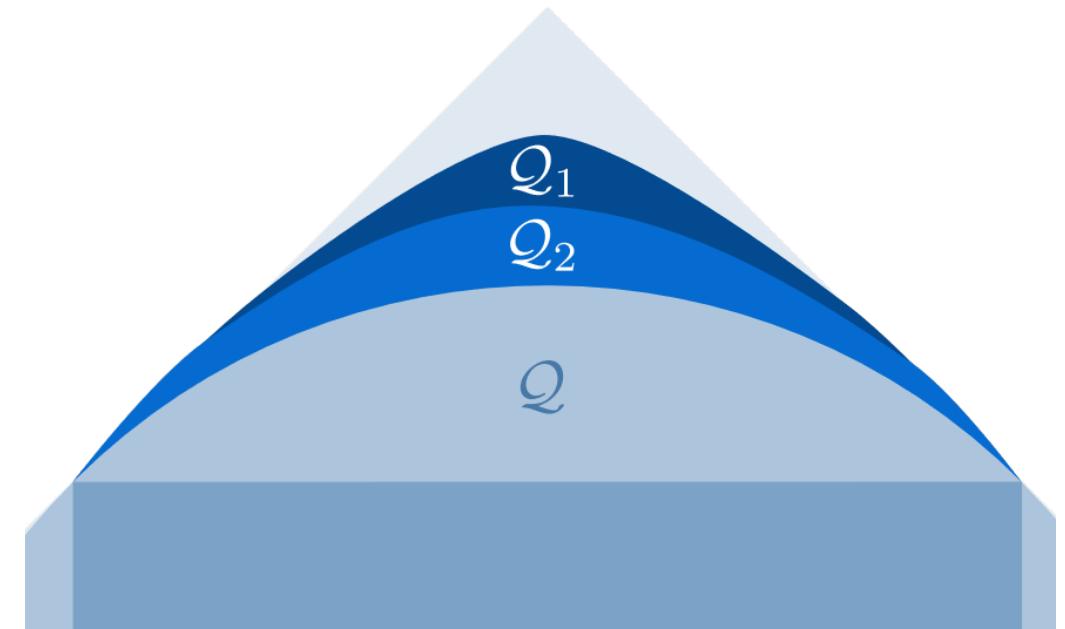
Gram matrix of the vectors

$$\left\{ O_1 O_2 \rho_x^{1/2} \mid O_1, O_2 \in \{\mathbb{I}, U_k^y, (U_k^y)^\dagger\} \right\}$$

⋮

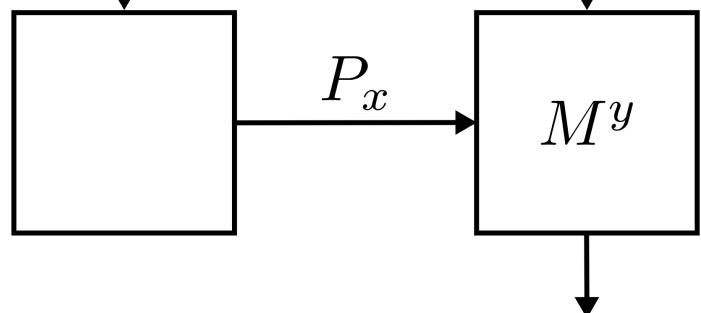
## Contextual SDP hierarchy

[CFW21] and []



## Parity-oblivious random access codes

$$x = x_0 x_1 \in \{0, 1\}^2 \quad y \in \{0, 1\}$$



$$k \in \{0, 1\}$$

$$\frac{1}{2}(P_{00} + P_{11}) \simeq \frac{1}{2}(P_{01} + P_{10})$$

Win when:

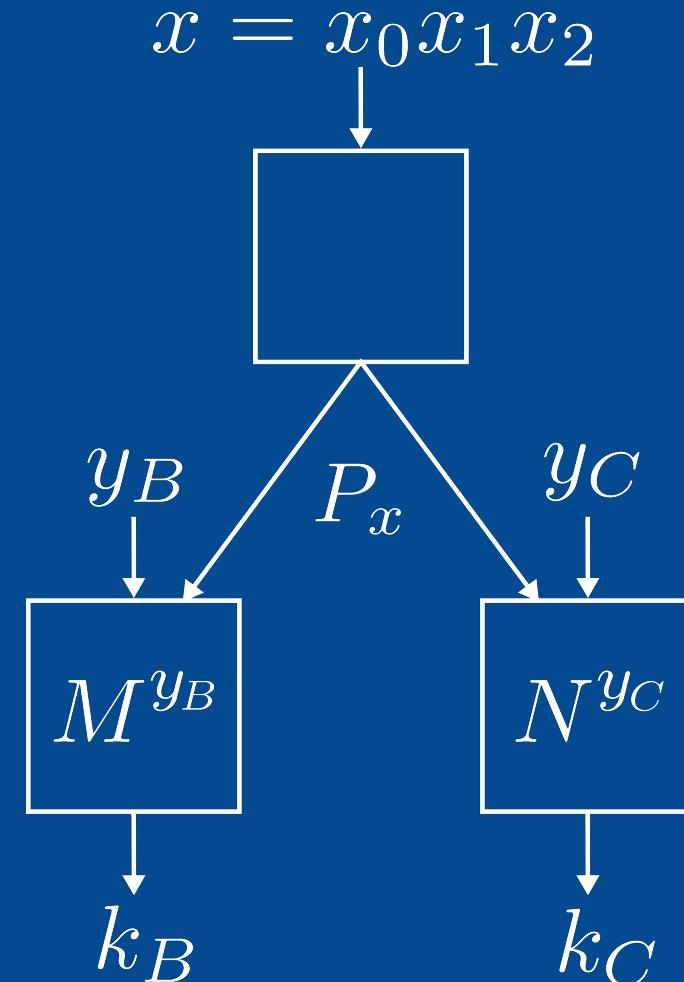
$$k = x_y$$

Average success probability:

$$S^{rac}(\mathbf{p}) = \frac{1}{8} \sum_{x,y} p(x_y | x, y)$$

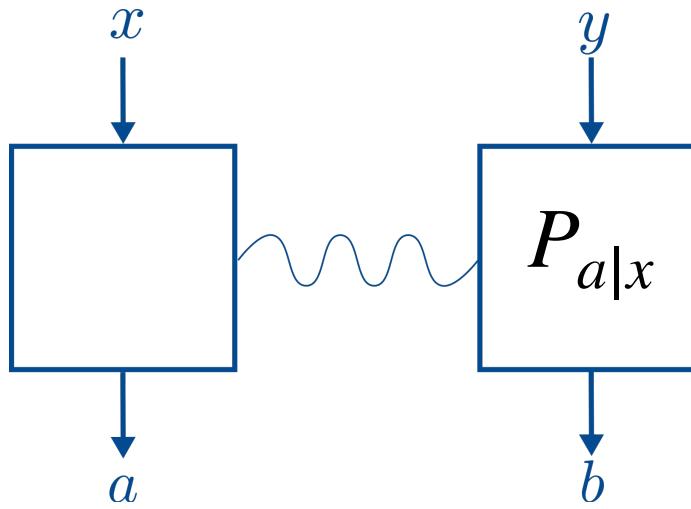
$$S_{NC}^{rac} = \frac{3}{4} < S_Q^{rac} = \frac{1}{2}\left(1 + \frac{1}{\sqrt{2}}\right)$$

# Monogamy of contextuality



$$S_B^{rac} + S_C^{rac} \leq 1.392 < 2S_{\mathcal{Q}}^{rac}$$

# Bell scenarios a subcase of contextuality scenarios?



$$\text{No-signalling: } \sum_a p_X(a|0)P_{a|0} \simeq \sum_a p_X(a|1)P_{a|1} \simeq \dots \simeq \sum_a p_X(a|1)P_{a|1}$$

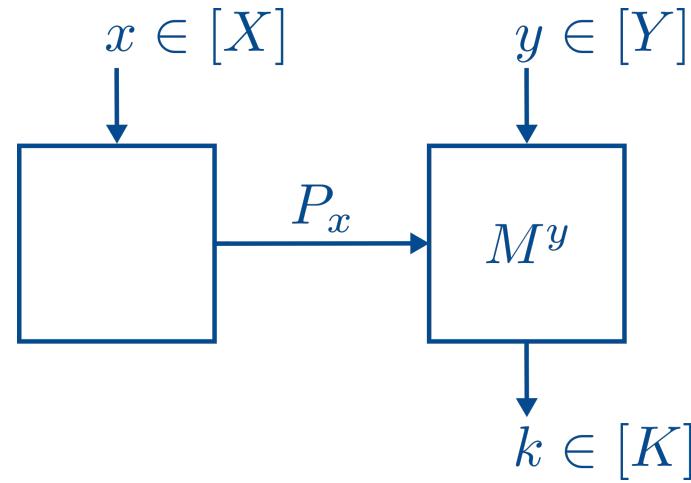
Quantum behaviours in Bell scenario



Quantum behaviours in the family of contextuality scenarios with these preparation equivalences

## Bell scenarios a subcase of contextuality scenarios?

Kinda



If the contextuality scenario only has preparation equivalences and they are of the form

$$\sum_j \alpha_j P_j \simeq \sum_j \beta_j P_j \simeq \dots \simeq \sum_j \zeta_j P_j$$

we can relabel these as

$$\sum_a p_X(a|0)P_{a|0} \simeq \sum_a p_X(a|1)P_{a|1} \simeq \dots \simeq \sum_a p_X(a|n)P_{a|n}.$$

Quantum behaviours in  
contextuality scenario

$\approx$

Subset of Bell scenario quantum behaviours  
with fixed marginals  $p_X(a|x)$  for Alice

## Are the hierarchies related?

If the contextuality scenario only has preparation equivalences and they are of the form

$$\sum_j \alpha_j P_j \simeq \sum_j \beta_j P_j \simeq \dots \simeq \sum_j \zeta_j P_j$$

we can use NPA!

Are the hierarchies equivalent in this case? No counter example found.

## Some other things we don't know

- Does the contextuality hierarchy converge?
- How fast does either hierarchy converge?

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